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October 31, 2019

**VIA ELECTRONIC FILING**

The Honorable Jocelyn G. Boyd  
Chief Clerk/Administrator  
Public Service Commission of South Carolina  
101 Executive Center Drive, Suite 100  
Columbia SC 29210

Re: **Application Regarding the Acquisition of Progress Energy, Incorporated by  
Duke Energy Corporation and Merger of Progress Energy Carolinas,  
Incorporated and Duke Energy Carolinas, LLC  
Docket Number: 2011-158-E**

Dear Ms. Boyd:

Pursuant to Regulatory Condition 3.10, on a quarterly basis, Duke Energy Carolinas, LLC ("DEC") and Duke Energy Progress, LLC ("DEP") must file (a) a list of all active dockets at the Federal Energy Regulatory Commission ("FERC") in which DEP, DEC, Duke Energy Corporation ("Duke Energy"), Duke Energy Business Services, LLC ("DEBS"), or Progress Energy Service Company, LLC ("PESC") is a party with new information in each quarterly filing tracked; and (b) a list of the periodic reports filed by DEC, DEP, Duke Energy, DEBS, or PESC with the FERC.

Attachment A to this letter lists all active FERC dockets as of September 30, 2019, to which DEC, DEP, Duke Energy, or DEBS is a party. Attachment B to this letter lists the periodic reports filed by DEC, DEP, Duke Energy, and DEBS with the FERC during the third quarter of 2019. Black-line versions of Attachments A and B indicating the changes since the last filing are also attached. The referenced dockets and reports can be accessed from the FERC eLibrary system at <http://elibrary.ferc.gov>.

Thank you for your attention.

Sincerely,

Heather Shirley Smith

cc: Ms. Nanette Edwards, Office of Regulatory Staff  
Ms. Dawn Hipp, Office of Regulatory Staff  
Mr. Jeff Nelson, Office of Regulatory Staff  
Mr. Michael Seaman-Huynh, Office of Regulatory Staff

## Attachment A

## Open FERC Dockets – Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
RM16-17	Duke Energy	Notice of Proposed Rulemaking (NOPR) to collect data for analytics and surveillance purposes from MBR sellers and entities trading virtual products or holding financial transmission rights, issued 7/21/16. On 9/16/16 Duke Energy submitted comments to supplement those of EEI, focusing on the path to create an implementable Data Dictionary and recommending a supplemental NOPR to address software development time and costs. During third quarter 2016, FERC held a technical workshop 8/11/16 on the draft Data Dictionary. On 11/2/16 FERC scheduled a second technical workshop to be held 12/7/16. On 7/19/19 FERC issued Final Rule, Order No. 860. Following requests for clarification, FERC granted rehearing for further consideration on 9/16/19. Waiting for FERC order.	Q3 2019
RM17-8	Duke Energy	NOPR on reform of large generator interconnection procedures and agreements, issued 12/15/16. During first and second quarters 2017, many parties filed comments, including Duke Energy on 4/13/17. On 2/2/18 FERC issued notice of technical conference to be held 4/3-4/18 to discuss issues related to coordination of affected systems raised in complaint filed by EDF Renewable Energy, Inc. against MISO, SPP and EJM in EL18-26 and the NOPR on generator interconnection in this docket. On 4/19/18 FERC issued Order No. 845, final rule amending the <i>pro forma</i> large generator interconnection procedures (LGIP) and agreement (LGIA), to improve certainty and enhance interconnection processes, effective 75 days after publication in the Federal Register. On 6/18/18 FERC granted rehearing for further consideration. On 10/3/18 FERC extended the date for compliance with Order No. 845. On 10/15/18 AWEA filed a request for rehearing of that order, which	Q3 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		FERC subsequently denied on 11/13/18. On 2/21/19 FERC granted requests for rehearing of Order No. 845. On 4/23/19 FERC granted rehearing for further consideration. On 8/16/19 FERC issued order on rehearing and clarification. (Closed 9/30/19)	
RM18-1	Duke Energy	Notice inviting comments on DOE rulemaking on grid reliability and resilience pricing, issued 10/2/17. On 10/23/17 Duke Energy filed initial comments. On 11/23/17 Duke Energy filed reply comments. During fourth quarter 2017, thousands of comments were filed. On 1/8/18 FERC terminated the rulemaking proceeding, initiated a new proceeding (AD18-7) to evaluate the resilience of the bulk power system in RTO/ISO regions, and required RTOs/ISOs to submit information, within 60 days, on resilience issues and concerns raised in comments. During first quarter 2018, more comments were filed and one request for rehearing. On 3/8/18 FERC granted rehearing for further consideration. On 12/18/18 NRDC et al filed a motion for recusal of Commissioner McNamee. Waiting for FERC order.	Q3 2019
RM19-5	Duke Energy, DEC, DEP	NOPR to require all transmission providers with transmission rates under an OATT, a transmission owner tariff, or a rate schedule to revise those rates to account for changes caused by the Tax Cuts and Jobs Act of 2017, issued 11/15/18. On 1/22/19 Duke Energy intervened. Comments were filed. Waiting for FERC order.	Q3 2019
AC13-170	DEP	DEP filed request to use Account 182.2 “Unrecovered Plant” on 9/18/13. On 10/2/13 NCEMPA challenged the request. FERC allowed comments until 10/28/13. NCEMPA, Fayetteville PWC and NCEMC all filed protests by 10/28/13. DEP responded to the protests on 11/13/13. On 10/20/14 DEP filed a motion to hold application in abeyance. On 10/24/14 DEP filed settlement agreement resolving all issues. On 11/13/14 FERC Trial Staff filed	Q3 2019

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		comments on the settlement, and on 11/21/14 DEP filed reply comments. Waiting for FERC order.	
AC19-75	Duke Energy	Request for approval to treat Cybersecurity Informational Technology-Operational Technology program as a single project for purposes of in-service and accrual of Allowance for Funds Used During Construction, filed 3/13/19. On 4/16/19 Duke Energy filed its answer to protests. Waiting for FERC order.	Q3 2019
AC19-110	DEC	Accounting entries related to Buck early retired plant, filed 4/18/19 and supplemented 6/14/19, per settlement agreement in EL17-83 and ER19-718. On 7/25/19 FERC approved request. (Closed 9/30/19)	Q3 2019
AC19-178		Request for approval to use Account 182.2, Unrecovered Plant and Regulatory Study Costs, to account for the costs related to the cancellation of Lee Nuclear Units 1 & 2, filed 7/26/19 and supplemented 9/27/19. Waiting for FERC order.	Q3 2019
AD16-16	Duke Energy	Notice of Technical Conference on PURPA implementation, to be held 6/29/16, issued 2/9/16. On 6/7/16 Duke Energy filed comments and speaker materials of Kendal Bowman, urging FERC to return to a needs-based implementation of PURPA, with rates established through actual incremental bona fide offers in a nondiscriminatory process. On 9/6/16 FERC invited post-conference comments by 11/7/16. On 11/7/16 Duke Energy Corp. filed comments urging FERC to implement PURPA in a manner that reflects all of the goals in the statute, the principles of cooperative federalism set forth in PURPA, and decades of judicial and Commission precedents; and encouraged FERC not to intrude into the States' PURPA rate-making jurisdiction in order to prioritize a single subordinate element of §210(a), e.g. mandating long-term contracts. Many other parties filed comments. On 9/19/19 FERC issued a notice of qualifying rates and	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		requirements implementation issues with PURPA; Commissioner Glick dissented in part. (Closed 9/30/19)	
AD16-18	Duke Energy	Competitive Transmission Development – Technical Conference. On 5/31/16 Duke Energy filed comments prior to the 6/27-28/16 technical conference, stating that it's far too soon after implementation of Order No. 1000 to embark on reforms. During second quarter 2016, many other parties filed comments and speaker materials. On 10/3/16 Duke Energy Corp. filed comments stating that Duke has no objection to FERC issuing policy guidance on cost containment mechanisms proposed in competitive transmission processes as warranted and requested, but does not support the issuance of a rulemaking at this time or the sort of guidance that triggers new processes where existing processes are meeting the goals of Order No. 1000. On 7/2/18 the US House Subcommittee on Energy requested an update on FERC's reconsideration of Order No. 1000 requirements, noting that FERC has not taken any action on reconsideration. Waiting for FERC order.	Q3 2019
AD17-11	Duke Energy	Notice of Technical Conference re State Policies and Wholesale Markets Operated by ISO-NE, NYISO and PJM, issued 3/3/17. Technical conference was held 5/1/17 and 5/2/17. During second quarter 2017 hundreds of parties filed comments before and after the technical conference, including Duke Energy on 6/22/17. Per 6/30/17 notice granting extension, reply comments were due 7/7/17. During third quarter 2017, many parties intervened and filed comments. During first quarter 2018, the American Council on Renewable Energy filed comments, and FERC responded to letters from members of Congress. Waiting for FERC order.	Q32019

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## Open FERC Dockets – Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
AD18-7	Duke Energy, DEC, DEP	New proceeding to evaluate the resilience of the bulk power system in regions operated by RTOs/ISOs, directing each RTO/ISO to submit information within 60 days, allowing comments 30 days after RTO/ISO submissions, and terminating RM18-1, issued 1/8/18. On 3/8/18 FERC granted rehearing for further consideration. On 3/9/18 the RTOs filed the required information. On 5/9/18 Duke Energy filed reply comments. Many other parties filed reply comments. During fourth quarter 2018, two parties filed motions for recusal of Commissioner McNamee. Waiting for FERC order.	Q3 2019
AD18-10	Duke Energy, DEC, DEP	Notice of Technical Conference to be held 4/10-11/18 to discuss the participation of distributed energy resource (DER) aggregations in RTO and ISO markets and to more broadly discuss the potential effects of DERs on the bulk power system, issued 2/15/18. On 3/29/18 FERC issued the agenda. On 4/10/18 FERC issued the transcript of the technical conference. On 4/27/18 FERC allowed comments to be filed within 60 days. On 6/26/18 Duke Energy Corp. filed comments. During second quarter 2018, several other parties filed comments. Comments filed. Waiting for FERC order.	Q3 2019
CP13-551	DEC, DEP	Transcontinental Gas Pipe Line Co. (Transco) application for a certificate of public convenience and necessity to construct and operate the Leidy Southeast Project, to be in service by 12/1/15, filed 9/28/13. DEC and DEP intervened on 10/23/13. On 6/12/14 FERC issued its schedule for environmental review of the project. On 12/18/14 FERC issued certificate and approved abandonment of facilities. On 12/19/14 filed its acceptance of certificate. On 4/28/15 and 5/19/15 FERC granted Transco's requests to proceed with construction of certain facilities for the project. On 7/13/15 FERC granted Transco's request to use areas as additional temporary	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		workspace. On 12/30/15 and 12/31/15 FERC authorized commencement of service for facilities but required Transco to continue filing status reports until restoration activities are complete. On 3/3/16 FERC denied requests for rehearing of 12/18/14 order granting CPCN. During second and third quarters 2016, Transco filed various reports. On 11/28/16 FERC granted the request to place the Skillman Loop in service. Construction and restoration activities continued.	
CP14-68	Duke Energy, DEC, DEP, DEBS	Texas Eastern Transmission, LP abbreviated application for a certificate of public convenience and necessity (CPCN) for its proposed Ohio Pipeline Energy Network Project to deliver production from Utica and Marcellus Shale to Gulf Coast area markets, filed 1/31/14 and revised 3/7/14. Duke Energy intervened on behalf of DEC, DEP, DEBS and other utilities on 2/11/14. On 2/25/14 DEC and DEP also intervened separately. On 6/24/14 FERC issued its schedule for environmental review of the project, which was revised on 8/11/14. FERC issued environmental assessment 8/22/14. On 12/2/14 FERC issued a certificate. On 12/5/14 Texas Eastern filed its acceptance of the certificate and subsequently on 12/15/14 and 12/22/14 submitted its implementation plan. On 4/16/15 FirstEnergy intervened out of time and requested a stay of construction authorized in Columbiana County, OH. On 4/24/15 Texas Eastern objected to the late intervention and the stay. On 4/30/15 FirstEnergy filed its answer and renewed its request for expedited action on its motion for stay. After FERC opened a new docket (RP15-971), FirstEnergy on 5/6/15 filed a motion for abeyance of action on prior motions in this docket. On 11/17/15 FERC granted request to place in service the remaining OPEN facilities not included in prior in-service approvals.	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		On 12/1/15 Texas Eastern filed notice of commencement of service on those remaining facilities. Post-construction activities continued. No further activity.	
CP15-16	DEC, DEP	Transco application for a CPCN for Hillabee Expansion Project, filed 11/18/14, and supplemented on 12/22/14 and 12/23/14. On 12/22/14 DEC and DEP intervened. On 5/13/15 FERC issued schedule for environmental review of the Southeast Market Pipelines Project, of which Transco's application is part. On 2/2/16 FERC issued CPCN. On 3/2/16 Transco filed its acceptance of CPCN, and several parties filed requests for rehearing of 2/2/16 order. On 3/29/16 FERC granted rehearing for further consideration of its 2/2/16 order. On 9/7/16 FERC denied requests for clarification of its 2/2/16 order with respect to Transco accounting for fuel costs via fuel tracker filings. On 5/18/17 Sierra Club asked FERC to deny requests until DC Circuit Court rules on pending matter. On 9/27/17 FERC issued Draft Supplemental EIS. On 2/2/18 Transco filed an emergency request for issuance of the Final Supplemental EIS concurrently with an order on remand reissuing the certificates for Sabal Trail, Florida Southeast Connection and the Hillabee expansion projects (the Southeast Market Pipeline or SMP), to allow continued gas transportation service following the DC Circuit Court's 8/22/17 decision and 1/31/18 order denying rehearing. On 2/5/18 FERC issued the Final Supplemental EIS. On 3/14/18 FERC issued its order on remand, reinstating certificates and abandonment authority for the SMP project. On 8/10/18 FERC denied requests for rehearing and dismissed request for stay as moot. Construction activities continued.	Q3 2019
CP15-29	DEC, DEP	Transco's application for a CPCN for Gulf Trace Expansion Project, filed 12/15/14. On 1/12/15 DEC and DEP intervened. On 10/21/15	Q3 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		FERC issued the CPCN, with conditions including completion of construction and placement into service within 2 years. On 11/3/15 Transco accepted the CPCN. On 11/20/15 Transco requested clarification or rehearing on air emissions modeling requirements. On 12/14/15 FERC granted rehearing for further consideration. On 6/16/16 FERC dismissed Transco's rehearing request as moot. During fourth quarter 2016, FERC issued Environmental and Construction Inspection Reports and granted the request to place the Duralde Meter Station facilities into service. On 9/10/18 FERC issued inspection report finding Transco in compliance with the environmental conditions of the 10/21/15 Order Issuing Certificate for the Project and recommending no further inspections. Restoration activities continued.	
CP15-117	DEC, DEP	Transco's application for an abbreviated CPCN for the Dalton Expansion Project, filed 4/1/15. On 4/22/15 DEC and DEP intervened. On 8/3/16 FERC issued a CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. On 3/29/17 FERC authorized Transco to begin partial path interim service. On 7/26/17 FERC granted the request to commence service on the remaining facilities. On 8/4/17 Transco notified FERC that the facilities had been placed in service. On 11/21/17 FERC denied requests for rehearing. On 12/14/17 the US Dept. of the Interior filed comments. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1020). During third and fourth quarters 2018, Transco filed various reports. Post-construction activities continued.	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
CP15-118	DEC, DEP	Transco's application for CPCN for Virginia Southside Expansion Project II, filed 3/23/15. On 4/22/15 DEC and DEP intervened. On 7/7/18 FERC issued the CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. On 9/13/17 FERC issued an environmental inspection report. On 11/21/17 FERC denied requests for rehearing. On 11/27/17 FERC granted authorization to commence service. On 12/5/17 Transco filed notice that service commenced 12/1/17. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). During fourth quarter 2018, FERC issued a construction inspection report. Post-construction activities continued.	Q3 2019
CP15-138	DEC, DEP	Transco's application for an abbreviated CPCN for Atlantic Sunrise Project, filed 3/30/15. On 4/29/15 DEC and DEP intervened. On 2/3/17 FERC issued CPCN with conditions, and on 2/6/17 Transco accepted the CPCN. On 3/13/17 FERC granted rehearing for further consideration of its 2/3/17 ruling. On 3/29/17 FERC granted Transco's request to proceed with constructing meter and regulator facilities in NC. On 5/11/17 Hilltop Hollow LP filed a petition for review of FERC's 2/3/17 and 3/13/17 orders with the DC Circuit Court. On 8/31/17 FERC denied Allegheny's 2/10/17 request for stay, which led to several requests for rehearing. On 9/26/17 Transco filed its answer to those filings. On 1/19/18 NCUC filed petition for review with the DC Cir. Court. On 3/1/18 FERC denied Sierra Club's requests for rehearing. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). On 5/15/18 FERC granted request to	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		begin partial path service for certain facilities, which Transco placed in service 6/1/18. On 10/4/18 FERC issued authorization to place facilities in service. On 10/7/18 Transco notified FERC that facilities had been placed in service. On 11/14/18 Transco filed a motion to amend the Certificate. Post-construction activities continued.	
CP15-499	DEC, DEP	Texas Eastern Transmission, LP's application for an abbreviated CPCN for its proposed South Texas Expansion Project, filed 5/21/15. On 6/23/15 DEC and DEP intervened. On 2/15/18 FERC issued certificate with conditions. On 3/27/18 Texas Eastern filed its notice of commencement of construction. During third quarter 2018, FERC issued an inspection report finding Texas Eastern in compliance with the 2/15/18 Order Issuing Certificate On 11/28/18 FERC authorized Texas Eastern to commence service. On 12/11/18 Texas Eastern notified FERC that certain facilities had been placed in service. Post-construction and restoration activities continued.	Q3 2019
CP15-554; CP15-555; CP15-556	DEC, DEP	Atlantic Coast Pipeline (ACP) application, filed by Dominion Transmission, ACP and Piedmont Natural Gas Co. On 2/17/17 DEC and DEP filed supplemental comments in support of the pipeline. On 7/21/17 FERC issued the final EIS. On 10/13/17 FERC granted certificate with conditions. On 12/11/17 FERC granted rehearing for further consideration. On 3/14/18 Appalachian Voices filed petition for writ with 4 <sup>th</sup> Circuit Court to stay FERC's 10/13/17 order authorizing construction. On 3/26/18 FERC granted rehearing for further consideration (of order allowing limited tree felling), following protests. On 3/28/18 FERC denied request to modify time-of-year tree felling restrictions. On 5/11/18 Defenders of Wildlife et al. filed a motion for stay and request for rehearing. During second quarter 2018, ACP filed supplemental information, various reports,	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		requests for variance, and a response to motion for stay; and FERC responded. On 6/28/18 FERC issued a data request. During third quarter 2018, ACP filed supplemental information and responses to FERC data requests; parties filed comments and petitions for review with the 4th Circuit Court of Appeals; and FERC issued environmental compliance monitoring reports, variance requests and authorizations to proceed with work in NC, WV and PA. FERC also granted rehearing for further consideration of several orders and letter orders. During fourth quarter 2018, FERC issued environmental monitoring reports; and opposition to the pipeline continued in the DC and 4th Circuit Courts. Construction activities have been halted, but weekly reports continued. [See more detailed reports filed in NCUC Docket Nos. E-2, Sub 1052 and E-7, Sub 1062.]	
CP16-3	DEC, DEP	Texas Eastern Transmission, LP's application for an abbreviated CPCN for proposed Access South, Adair Southwest and Lebanon Extension Projects, filed 10/8/15. On 11/9/15 DEC and DEP intervened. On 12/21/16 FERC issued the CPCN and approved abandonment of facilities. On 12/29/16 Texas Eastern filed its Initial Implementation Plan. On 1/9/17 Texas Eastern accepted the CPCN terms. On 3/31/17 FERC granted Texas Eastern's request to begin construction of the Berne Compressor Station in Ohio. During second quarter 2017, FERC issued an Environmental Inspection Report. On 7/19/17 FERC granted request to place facilities in service. On 8/8/17 Texas Eastern notified FERC that facilities commenced service 8/1/17. On 10/31/17 FERC authorized Texas Eastern to place Access South and Adair Southwest Projects into interim service. On 11/9/17 Texas Eastern filed notice that the 2 projects had been placed in	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		interim service on 11/1/17. Texas Eastern filed on 5/3/18 its final notice of commencement of service. Restoration activities continued.	
CP16-10	DEC, DEP	Mountain Valley Pipeline (MVP) application for CPCN to build 301 miles of new interstate natural gas pipeline, 3 compressor stations and other facilities in WV and VA, filed 10/23/15, requesting a final order by 10/15/16. On 11/12/15 DEC and DEP intervened. On 3/31/17 FERC revised the schedule for environmental review. On 6/23/17 FERC issued the Final Environmental Impact Statement. On 10/13/17 FERC issued certificate, subject to 41 conditions. On 10/20/17 MVP accepted the certificate. On 12/13/17 FERC granted rehearing for further consideration of 10/13/17 order. On 3/26/18 FERC granted rehearing for further consideration of various 2018 letter orders authorizing construction. During second and third quarters 2018, many parties filed comments and petitions for review with the DC Circuit Court of Appeals; and FERC authorized construction on some segments. During fourth quarter 2018, the Army Corps of Engineers suspended its permit after the 4th Cir. Court of Appeals vacated the permit; and FERC issued environmental monitoring reports. Construction activities continued.	Q3 2019
CP16-494	DEC	Transco abbreviated CPCN application to build and operate the Gulf Connector Expansion Project, filed 8/16/16, an expansion of its interstate transmission system in Texas and Louisiana. On 9/15/16 DEC and DEP intervened. On 9/21/17 FERC issued its Environmental Assessment of the project. On 11/21/17 FERC granted a CPCN for the project, subject to conditions. On 12/1/17 Transco accepted the CPCN. On 12/6/17 Transco filed its implementation plan. On 1/10/18 FERC authorized construction of facilities. On 2/13/18 Transco notified FERC that construction began 2/8/18. During third	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		and fourth quarters 2018, Transco filed status reports and a request to amend the certificate to reflect increased capital costs for the Project, replace the proposed phasing-in of the Project with a single in-service date, and revise the initial recourse rate for firm transportation service. On 11/20/18 FERC amended the CPCN that had been granted 11/21/17. On 12/6/18 Transco notified FERC that certain facilities had been placed in service. Post-construction activities continued. On 9/26/19 FERC granted Transco's request and vacated certificate in part, concerning portions of the project Transco no longer intends to construct.	
CP16-501	DEC, DEP	Texas Eastern's abbreviated application for CPCN for proposed Marshall County Mine Panel Project in WV, filed 9/15/16. On 10/20/16 DEC and DEP intervened. On 1/26/17 FERC issued a CPCN, and on 2/7/17 Texas Eastern accepted the CPCN order. During first quarter 2017, Texas Eastern filed a notice to proceed with construction, and FERC approved it 3/7/17. On 4/5/17 Texas Eastern filed a Notice of Commencement of Construction. Texas Eastern filed a notice of commencement of service on 8/28/18. On 11/8/18 Texas Eastern notified FERC that construction and initial restoration activities have been completed; quarterly reports will be filed until restoration work is complete. Restoration activities continued.	Q3 2019
CP17-6	DEC, DEP	Texas Eastern abbreviated application for approval to abandon 16.5 miles of pipeline facilities previously removed from active gas service in OH, WV and PA, filed 10/28/16. On 11/30/16 DEC and DEP intervened. On 6/15/17 FERC approved abandonment of the facilities, with environmental conditions. On 2/21/18 FERC granted the request to proceed with abandonment. On 11/2/18 Texas Eastern	Q3 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		notified FERC that abandonment activities had been completed. Restoration activities continued.	
CP17-46	DEC, DEP	Southern Natural Gas Co. (SNG) CPCN application for the Fairburn Expansion Project in Georgia, filed 2/3/17. On 3/7/17 DEC and DEP intervened. On 8/18/17 FERC issued Environmental Assessment of the project. On 2/15/18 FERC issued CPCN for the project, and SNG filed its acceptance. On 3/15/18 SNG notified FERC that construction began on 3/7/18. On 5/16/18 SNG filed request to place SM2LL facilities in-service. SNG filed a notice of commencement of service on 6/27/18. During fourth quarter 2018, FERC authorized SNG to place Fairborn Expansion Project in service, and SNG notified FERC that the project was placed in service 12/18/18. Post-construction activities continued.	Q3 2019
CP17-58	DEC, DEP	Transco abbreviated CPCN application for the St. James Supply Project in Louisiana, filed 2/6/17. On 3/7/17 DEC and DEP intervened. On 1/18/18 FERC issued CPCN with conditions. During first quarter 2018, Transco accepted the certificate, filed an implementation plan, filed notices of construction commencement and execution of firm contract for capacity levels and terms in the signed precedent agreement. During second and third quarters 2018, Transco filed supplemental information, status reports and requests for variance; and FERC granted the requests and authorized construction of facilities in LA. Post-construction activities continued.	Q3 2019
CP17-101	DEC, DEP	Transco abbreviated CPCN application to construct and operate the Northeast Supply Enhancement Project, filed 3/26 /17. DEC and DEP intervened on 4/27/17. On 3/23/18 FERC issued notice of the draft EIS, with comments due 5/14/18. On 5/3/19 FERC issued	Q3 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		certificate. Requests for rehearing were filed. Waiting for FERC order. On 7/2/19 FERC granted rehearing for further consideration of 5/3/19 order. During third quarter 2019 Transco filed implementation plan.	
CP17-212	DEC	Transco variance request for a route modification in Luzerne and Wyoming counties, part of the Atlantic Sunrise Project, filed 4/19/17 and supplemented 4/27/17 and 5/16/17. DEC intervened on 5/12/17. On 5/18/17 FERC granted the certificate to abandon, with conditions. On 5/24/17 Transco accepted the certificate. On 7/31/17 Transco filed implementation plan. On 9/5/17 Transco filed request for Notice to Proceed, which FERC granted 9/15/17. On 10/4/18 FERC authorized Transco to place the project in service. Post-construction activities continued.	Q3 2019
CP17-450	DEC, DEP	Transco application for approval to abandon gathering facilities located in offshore Texas, filed 5/25/17. During second quarter 2017, several parties intervened, including DEC and DEP on 6/19/17; FERC issued a data request, and Transco responded. On 7/25/17 Transco filed supplemental information. On 8/2/17 FERC issued the Environmental Assessment. On 8/8/17 FERC granted the request to abandon pipeline facilities and required Transco to notify the Commission within 10 days of abandonment. On 5/7/19 Transco filed motion to vacate abandonment authorization. Waiting for FERC order.	Q3 2019
CP18-10	DEC, DEP	Texas Eastern LP abbreviated CPCN application for Texas Industrial Market Expansion and Louisiana Market Expansion Projects (TX-LA Projects), filed 10/19/17 requesting authorization by 9/1/18. On 11/21/17 DEC and DEP intervened. On 7/19/18 FERC issued Certificate. On 7/25/18 Texas Eastern accepted Certificate. On	Q3 2019



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## Open FERC Dockets – Third Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		8/29/18 Texas Eastern filed implementation plan. On 2/7/19 FERC authorized modifications at Gillis Compressor Station, and 2/26/19 Texas Eastern filed its notice of commencement of construction. On 5/30/19 Texas Eastern filed notice of commencement of service. Post-construction activities continued.	
CP18-18	DEC, DEP	Transco abbreviated CPCN application for Gateway Expansion Project, filed 11/15/17. On 12/12/17 DEC and DEP intervened. On 1/2/18 FERC issued notice of intent to prepare an environmental assessment (EA) for the project and requested comments by 2/2/18. On 5/24/18 FERC issued schedule for environmental review. On 12/12/18 FERC issued the CPCN, with Commissioner Glick dissenting. On 12/20/18 Transco filed an implementation plan. On 2/11/19 FERC granted rehearing for further consideration. Construction activities continued.	Q3 2019
CP18-145	DEC, DEP	Transco abbreviated CPCN application for reduced operating pressures and partial abandonment of capacity of Caverns 5, 6, and 7 at the Eminence Storage Field located in Covington County, MI, filed 3/27/18. On 4/9/18 DEC and DEP intervened. On 1/24/19 FERC approved abandonment and amended Certificate. On 3/26/19 FERC granted rehearing for further consideration. On 4/4/19 FERC granted clarification. On 4/5/19 Transco accepted FERC's 1/24/19 order. Abandonment activities continued.	Q3 2019
CP18-186	DEC, DEP	Transco abbreviated CPCN application to construct Southeastern Trail Project, filed 4/11/18. On 5/24/18 DEC and DEP intervened. Comment period and filing of supplemental information continued.	Q3 2019
CP18-485	DEC, DEP	Joint Texas Eastern and Transco abbreviated application to abandon offshore gathering facilities, including a lateral line and related metering and other facilities, located in federal waters in the Gulf of	Q3 2019

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## Open FERC Dockets – Third Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		Mexico near LA, filed 5/17/18. On 6/14/18 DEC and DEP intervened. On 10/25/18 FERC issued Environmental Assessment. On 7/18/19 FERC granted abandonment. On 9/16/19 FERC granted rehearing for further consideration.	
CP18-486	DEC, DEP	Joint Texas Eastern, Transco and Northern Natural Gas abbreviated application to abandon a 12-mile offshore supply lateral and related facilities located in offshore federal waters in the Gulf of Mexico near LA, filed 5/18/18. On 6/14/18 DEC and DEP intervened. During second quarter 2018, several other parties intervened, and Texas Eastern filed supplemental information. On 10/25/18 FERC issued Environmental Assessment. On 4/24/19 and 6/24/19 Texas Eastern requested prompt issuance of abandonment authorization. On 7/18/19 FERC granted abandonment.	Q3 2019
CP18-493	DEC, DEP	Saltville Gas application to drill new injection and withdrawal wells, to replace abandoned wells and one existing well, to maintain the deliverability of the Early Grove depleted reservoir facility, as well as to abandon one existing monitoring well at its Early Grove storage facility in Scott and Washington Counties, VA, filed 5/31/18. On 8/7/18 FERC issued its Environmental Assessment. Construction activities continued. On 7/11/19 Saltville filed its final report, notifying FERC that construction and restoration activities for the Project were completed 6/4/19. (Closed 9/30/19)	Q3 2019
CP18-533	DEC, DEP	Texas Eastern abbreviated application to abandon facilities (lateral pipeline and associated facilities located in Harrison and Marion Counties, TX), filed 7/24/18. DEC and DEP intervened on 8/28/18. On 9/6/18 FERC issued notice of intent to prepare Environmental Assessment (EA). On 1/25/19 FERC issued Environmental Assessment. Comment period and filing of supplemental information	Q3 2019

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## Open FERC Dockets – Third Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		continued. On 8/21/19 FERC approved abandonment. During third quarter Texas Eastern filed its implementation plan.	
CP19-14	DEC, DEP	Mountain Valley Pipeline (MVP) CPCN application for the Southgate Project, filed 11/6/18. DEC and DEP intervened on 12/10/18. Comment period and filing of supplemental information continued.	Q3 2019
CP19-481	DEC, DEP	Transco request for authorization to abandon an existing 10-inch supply lateral and 8-inch supply lateral extending from Ship Shoal Block 169 to Ship Shoal Block 72 (Supply Laterals) and appurtenant metering facilities, all in Federal waters offshore Louisiana, filed 6/21/19. Duke Energy intervened 8/18/19. Comment period and filing of supplemental information continued.	Q3 2019
CP19-494	DEC, DEP	Transco application, in abbreviated form, for a certificate of public convenience and necessity to construct and operate Leidy South Project, filed 7/31/19. Comment period and filing of supplemental information continued.	Q3 2019
EL13-88; ER16-1969	Duke Energy, DEC, DEP, DEBS	Complaint of Northern Indiana Public Service Co. (NIPSCO) against MISO and PJM to remedy flaws in the interregional planning process between the two, filed on 9/11/13. Duke Energy intervened on 9/30/13 on behalf of its subsidiaries that sell into the PJM market. Comments and reply comments were filed, and a technical conference was held 6/15/15 to explore issues raised in the complaint. On 4/21/16 FERC granted complaint in part and directed MISO and PJM to submit compliance filings and informational filings pertaining to their interregional planning process. On 6/17/16 FERC granted rehearing for further consideration. On 8/19/16 MISO and PJM filed a joint report on efforts to improve transmission expansion planning coordination, as required by 4/21/16 order. On 10/18/16 (supplemented 10/25/16) MISO and PJM filed another report in	Q3 2019

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## Open FERC Dockets – Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		response to 4/21/16 order. On 4/24/17 MISO filed compliance filing and motion for extension in ER16-1969, to allow MISO to continue working with stakeholders on cost allocation methodology. On 6/27/17 FERC granted the extension until 10/31/18. On 9/17/18 MISO and MISO TOs filed an expedited joint motion for a limited extension of the compliance deadline and a request for a shortened comment period. During fourth quarter 2018, MISO and MISO TOs requested an extension of compliance deadline, which the Generator Group subsequently opposed. On 2/28/19 MISO filed compliance filing in Docket Nos. ER16-1969 and ER19-1156. On 6/24/19 FERC rejected the compliance filing. On 9/13/19 FERC granted extension for revised compliance filing.	
EL14-37; ER17-1433	Duke Energy, DEC, DEP	Investigation to address whether PJM's current OATT applies the Financial Transmission Rights (FTR) forfeiture rule to Up to Congestion transactions justly, issued 8/29/14. On 9/22/14 Duke Energy intervened. On 9/24/14 the Financial Marketers Coalition (FMC) filed a motion for clarification and request for expedited consideration. On 10/22/14 FERC granted rehearing for further consideration of its 8/29/14 order initiating the investigation. On 12/16/14 FERC denied the FMC motion. The technical conference was held 1/7/15. On 1/19/17 FERC issued its Order on investigation, finding PJM's application of its FTR forfeiture rule to virtual transactions to be unjust and unreasonable; requiring PJM to make a compliance filing within 90 days; and holding the issue of uplift in abeyance, pending the outcome of a NOPR in a separate proceeding. On 7/14/17 PJM filed a statement of support. On 4/18/17 PJM filed compliance filing in ER17-1433. During second and third quarters of 2017, parties intervened and filed protests. Waiting for FERC order.	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
EL15-67	DEC, DEP, DEBS	Complaint of Linden VFT, LLC against PJM re PJM's proposed cost allocations for projects resulting from PJM's 2013 Regional Transmission Expansion Plan, filed 5/22/15 and amended 7/10/15. On 7/1/15 DEBS intervened on behalf of several affiliates. On 11/24/15 FERC accepted the tariff revisions but suspended them for 5 months, subject to refund, to become effective 4/25/16 or on a date set forth in future order following a technical conference. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. During first quarter 2016, technical conference was held, and parties filed comments. On 4/22/16 FERC denied complaint. On 6/21/16 FERC granted rehearing for further consideration. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed at the technical conference. On 5/31/17 PSEG responded in opposition to motions. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and others responded to ConEd's motion. On 10/3/17 ConEd filed its answer to PJM's 9/29/17 response. On 3/20/18 ConEd filed motion to reopen record and accept PJM's 2/27/17 answer in EL18-54 which is relevant to this case. During third quarter 2018, FERC established settlement judge procedures. On 9/17/18 FERC granted rehearing for further consideration. On 7/19/19 Settlement Judge issued final report declaring impasse and recommending that settlement judge procedures be terminated. On 7/22/19 settlement judge procedures were terminated. Waiting for FERC order.	Q3 2019
EL15-79	Duke Energy, DEC, DEBS	Complaint of Tran Source LLC alleging that PJM refused to provide data underlying cost estimates in System Impact Studies for queue positions, filed 6/23/15. On 5/27/16 Duke Energy intervened on	Q3 2019

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## Open FERC Dockets – Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p>behalf of subsidiaries that sell into PJM. In second quarter 2016, FERC established hearing procedures (after having held proceeding in abeyance), scheduled and then rescheduled the prehearing conference for 8/2/16. On 6/16/16 FERC allowed Duke Energy and others to intervene. During third quarter 2016, a prehearing conference was held 8/22/16, and the Chief Judge issued a procedural schedule. In fourth quarter 2016, prehearing conferences were held on 10/26/16 and 12/20/16; On 8/29/17 FERC modified the unopposed procedural schedule that Transco had proposed. Testimony was filed, and hearing was held 9/12/17. During fourth quarter 2017, parties filed briefs. On 1/19/18 FERC ALJ issued initial decision finding PJM practices nontransparent and discriminatory and requiring PJM to refund monies TranSource paid and to restore TranSource's original queue position. On 2/20/18 parties filed briefs and exceptions. On 3/12/18 opposition briefs were filed. On 8/26/19 FERC issued order reversing the Presiding Judge's findings that PJM's processing of the TranSource System Impact Studies was nontransparent and unduly discriminatory but finding that PJM's Tariff omits material terms about how it processes System Impact Studies for Attachment EE upgrade requests and directing PJM to make a compliance filing within 45 days.</p>	
EL15-95	DEC, DEP, DEBS	<p>Complaint of Delaware PSC and MD PSC against PJM, alleging that use of DFAX cost allocation method is unreasonable, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. During second quarter 2016 several parties requested rehearing. On 6/21/16 FERC</p>	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		granted rehearing for further consideration. On 8/5/16 PJM advised that the Artificial Island project, a transmission line in the Regional Transmission Extension Plan approved by the PJM Board, has been suspended until analysis can be completed. On 8/23/16 complainants moved to defer ruling on requests for rehearing. On 4/12/17 PJM filed an informational filing on status of Artificial Island: PJM Board approved lifting of suspension. On 4/27/17 ODEC filed comments on 4/12/17 PJM filing. On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017. On 7/19/18 FERC granted rehearing and established paper hearing procedures. On 2/28/19 FERC denied rehearing, established a reasonable rate for transmission facilities that address stability-related reliability concerns, and required a compliance filing in 30 days. On 5/1/19 FERC granted rehearing for further consideration. Waiting for FERC order. [See also ER15-2562 and ER15-2653 below.]	
EL16-49	Duke Energy, DEC, DEBS	Complaint of Calpine Corp., Dynegy, et al. against PJM, asking FERC to expand the Minimum Offer Price Rule to prevent the artificial suppression of prices in the Reliability Pricing Model market by below-cost offers for existing resources whose continued operation is being subsidized by state-approved out-of-market payments, filed 3/21/16. On 4/5/16 Duke Energy intervened. On 6/29/18 FERC rejected PJM tariff revisions, granted in part and denied in part Calpine's complaint, and instituted Section 206 proceeding in EL18-178. On 8/29/18 FERC granted rehearing for further consideration. See EL18-178 for updates.	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
EL17-29	Duke Energy, DEC, DEP, DEBS	Complaint of American Municipal Power (AMP) alleging that MISO's assessment of congestion and administrative charges associated with dispatches of an AMP generating resource by PJM is unjust and unreasonable, filed 12/19/16 and supplemented 12/20/16. On 1/17/17 Duke Energy intervened. On 1/25/17 MISO filed its answer to the complaint and also a joint motion with PJM to hold the matter in abeyance. On 3/27/17 MISO and PJM filed an informational update on efforts to resolve the congestion overlap issue. On 5/26/17 MISO and PJM filed a status update. On 7/25/17 and 9/25/17 MISO/PJM filed a status update. On 11/22/17 MISO/PJM filed another update on the efforts of the RTOs to develop proposed solutions to the congestion overlap issue related to pseudo-ties. On 1/23/18 MISO/PJM filed another update, and AMP filed a response 2/7/18. On 4/6/18 MISO/PJM filed another update. On 6/1/18 AMP et al. filed a joint request for prompt action, to which MISO responded on 6/13/18. On 6/28/18 AMP et al. replied to PJM filing. Settlement judge procedures continued.	Q3 2019
EL17-62	Duke Energy, DEC, DEP	Complaint of Potomac Economics asking FERC to direct PJM to revise its OATT and Reliability Assurance Agreement Among Load-Serving Entities in the PJM Region (RAA) to eliminate the existing requirement that resources located external to PJM seeking to offer as Capacity Performance Resources in PJM be pseudo-tied into PJM, filed 4/6/17. During second quarter 2017, many parties intervened and filed comments, including Duke Energy on 4/13/17. On 5/8/17 PJM filed a motion to dismiss, and several parties filed comments. On 6/20/17 PJM filed its answer to comments and protests. On 5/14/18 Brookfield Energy Marketing intervened out of time. On 6/19/18 PJM filed notice of appellate precedent on Market Monitor standing, and	Q3 2019



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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		on 6/26/18 the Independent Market Monitor responded. On 7/2/18 Potomac Economics filed a letter opposing PJM's motion to lodge recent DC Cir. Court decision. No further activity.	
EL17-64	Duke Energy, DEC, DEP	Complaint of Energy Storage Association (ESA) alleging PJM's changes to its Frequency Regulation market have been discriminatory and capricious, causing harm to ESA members who participate in that market, filed 4/13/17. On 5/22/18 Duke Energy intervened out-of-time. [See EL17-65 for updates.]	Q3 2019
EL17-65	Duke Energy, DEC, DEP	Complaint of Renewable Energy Systems Americas (RESA) and Invenenergy Storage Development LLD alleging that PJM's implementation of a frequency regulation signal inconsistent with the operational design of PJM's market for energy-limited resources negatively affected storage devices and is discriminatory, filed 4/14/17. Duke Energy intervened on 5/12/17. On 3/30/18 FERC issued order granting the Energy Storage Assoc. (ESA) complaint (EL17-64) in part and directing Staff to convene a technical conference on remaining issues (EL17-65). In response to a 5/30/18 joint request, on 6/6/18 FERC postponed the technical conference and appointed a settlement judge. Settlement conferences were held 6/14/18 and 6/26/18. On 4/23/19 an Offer of Settlement was filed. On 6/26/19 settlement judge procedures were terminated. Waiting for FERC order.	Q3 2019
EL17-68	Duke Energy, DEC, DEP	Linden VFT complaint and request for fast track processing, alleging that PJM's cost reallocations for BLC project are unjust and unreasonable, filed 4/28/17. During second quarter 2017, several parties intervened, including Duke Energy on 5/2/17. See EL15-67 above.	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
EL17-84; ER17-2073	Duke Energy, DEC, DEP	Section 206 investigation into the justness of Hudson Transmission Partners LLC (HTP) being unable to convert its Firm Withdrawal Rights into Non-Firm Transmission Withdrawal Rights following FERC's rejection of PJM's unexecuted amendment to Interconnection Service Agreement, issued 9/8/17. On 9/18/17 Duke Energy intervened. On 10/10/17 PJM responded to 9/8/17 show cause order. On 12/15/17 FERC issued order requiring PJM to permit conversion of firm to non-firm transmission withdrawal rights and required PJM to amend the agreement with HTP. On 2/9/18 FERC granted rehearing for further consideration of 12/15/17 order. During first quarter 2018, several parties filed requests for rehearing/clarification and answers to same. Settlement judge procedures continued. See EL15-67 above.	Q3 2019
EL17-94	Duke Energy, DEC, DEP	NY Power Authority (NYPA) complaint against PJM, seeking refund of Regional Transmission Expansion Plan (RTEP) charges associated with the Hudson Transmission Project's Firm Transmission Withdrawal Rights following Hudson Transmission Partners, LLC's (HTP) surrender of those rights, filed 9/28/17. On 10/4/17 Duke Energy intervened. On 10/18/17 PJM and PJM Transmission Owners filed answers. On 11/2/17 NYPA filed its response. On 2/16/18 NYPA filed a motion to lodge relevant documents from other dockets, supplemented on 2/23/18. Settlement judge procedures continued. See EL15-67 above.	Q3 2019
EL18-7	Duke Energy, DEC, DEP	AEP complaint against MISO, alleging that MISO failed to provide AEP and other PJM Transmission Owners with more than \$4.8 million of system elimination charge/cost adjustments (SECA), filed 10/10/17. On 11/10/17 Duke Energy intervened. On 11/13/17 MISO filed its answer to the complaint. On 1/28/18 AEP filed its response.	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		On 2/7/18 FERC scheduled a conference call on 2/14/18 to discuss factual questions. On 3/7/18 MISO filed a supplemental answer. During first quarter 2018, other parties filed comments on MISO's answer. During third quarter 2018 FERC initiated settlement judge procedures in its 9/14/18 order. On 11/13/18 FERC granted rehearing for further consideration. Settlement judge procedures continued.	
EL18-34	Duke Energy, DEC, DEP	Order and Notice instituting investigation into whether PJM's practices re pricing of fast-start resources may be unjust and unreasonable, issued 12/21/17. On 1/3/18 Duke Energy intervened. On 2/12/18 PJM filed its initial brief. Comments, answers and briefs were filed. On 4/18/19 FERC directed PJM to revise tariff.	Q3 2019
EL18-169	Duke Energy, DEC, DEP	Complaint of CPV Power Holdings, Calpine and Eastern Generation, LLC alleging that PJM's proposals to protect its Reliability Pricing Model (RPM) market from below-cost offers for resources receiving out-of-market subsidies are inadequate, filed 5/31/18. On 6/4/18 Duke Energy intervened. During second quarter 2018, many other parties intervened and filed comments or protests. On 6/19/18 PJM filed its answer to protests. Waiting for FERC order.	Q3 2019
EL18-178	Duke Energy, DEC, DEP	Paper hearing proceeding initiated by FERC when it rejected complaint in EL16-49, issued 6/29/18. Notice of Section 206 proceeding and refund effective date, issued 7/2/18. During third quarter 2018, many parties intervened and filed comments, including Duke Energy on 7/23/18. During fourth quarter 2018, many parties filed comments, reply comments and briefs. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. On 7/25/19 FERC denied PJM's supplemental motion for reconsideration and directed PJM not to run the Base Residual Auction in August 2019.	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
EL19-18	Duke Energy, DEC, DEP	AEP complaint alleging that PJM's OATT is unjust because it does not include indemnifications provisions consistent with FERC's <i>pro forma</i> Large Generator Interconnection Agreement, filed 11/16/18. On 12/19/18 Duke Energy filed comments. On 5/10/19 FERC granted complaint in part and denied it in part. AEP filed a request for clarification. Waiting for FERC order.	Q3 2019
EL19-47	Duke Energy, DEC, DEP	Complaint of PJM IMM against PJM, alleging lack of effective market power mitigation in the capacity market, filed 2/21/19. On 4/17/19 Duke Energy intervened. Waiting for FERC order.	Q3 2019
EL19-58; ER19-1486	Duke Energy, DEC, DEP	PJM proposed revision to its Operating Agreement to implement reserve market enhancements. On 5/13/19 Duke Energy intervened. Waiting for FERC order.	Q3 2019
EL19-61	Duke Energy, DEC, DEP	Order instituting an investigation into whether to require PJM to revise provisions of Schedule 6 of the PJM Operating Agreement to reinstate the competitive proposal window process to projects needed solely to address individual transmission owner Form No. 715 local planning criteria, issued 8/30/19. Duke Energy intervened 9/12/19. Comment period continued.	Q3 2019
EL19-79	Duke Energy, DEC, DEP	Complaint of LSP Transmission Holding II, LLC, Cardinal Point Electric, LLC and LS Power Mid-continent, LLC against MISO, alleging flaws in economic planning process, filed 6/5/19. On 6/24/19 Duke Energy intervened. Comment period continued. Waiting for FERC order.	Q3 2019
ER13-1924	Duke Energy, DEC, DEP	PJM Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with MISO, filed 7/10/13. Duke Energy intervened on 9/5/13. On 12/18/14 FERC rejected in part and conditionally accepted in part PJM's compliance filing and directed PJM to submit further	Q3 2019

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## Open FERC Dockets – Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		compliance filing within 60 days. On 1/20/15 MISO TOs requested rehearing. On 2/9/15 FERC granted rehearing for further consideration of its 12/18/14 order. On 6/15/15 FERC held a technical conference to explore issues raised. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 10/28/16 FERC denied rehearing and conditionally accepted third compliance filings subject to further compliance filings. On 12/27/16 MISO TOs filed a petition for review with the DC Circuit Court of Appeals. On 6/22/18 DC Circuit affirmed FERC decision on MISO cost allocation. Petition for review was filed 12/27/18. No further activity.	
ER14-972	Duke Energy, DEC, DEP, DEBS	PJM amendments to Schedules 6 and 12 to incorporate cost responsibility assignments for 111 upgrades in the recent update to its Regional Transmission Expansion Plan, filed on 1/10/14. Duke Energy intervened on 1/15/14. On 4/9/14 FERC accepted the filing but required a compliance filing. On 5/9/14 PJM submitted the compliance filing. Several parties requested rehearing, and on 6/9/14 FERC granted rehearing for further consideration. On 8/20/14 PJM filed a motion to hold docket in abeyance, to allow parties to discuss cost allocation for the contested projects. On 12/16/14 PJM filed a motion to release the stay for this docket but not for the related docket, ER14-1485. On 6/18/15 FERC denied the complaint, denied	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		rehearing and accepted ConEd's compliance filing. On 6/25/15 Con Ed filed a petition for review, and on 6/26/15 Linden VTF did likewise. On 8/19/15 FERC granted rehearing for further consideration of its 6/18/15 order. On 11/25/15 FERC accepted and suspended PJM's proposed tariff revisions and scheduled a technical conference on 1/12/16. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. D.C. Circuit Court proceeding is in abeyance. Waiting for FERC order. [See also ER14-1485, ER15-2562 and ER15-2563.]	
ER14-1461	Duke Energy, DEC, DEP, DEBS	PJM revision of its Reliability Assurance Agreement and OATT Attachment DD to reform the current Reliability Pricing Model market rules that incent sellers in 3-year forward auctions to submit speculative offers that can undermine long-term reliability, filed 3/10/14 and amended 3/14/14. Duke Energy intervened on 3/28/14. On 5/9/14 FERC rejected tariff changes and instituted a Section 206 proceeding (EL14-48); a technical conference will be held. On 7/8/14 FERC granted rehearing for further consideration. On 8/18/14 PJM requested deferral until FERC has acted on PJM's capacity performance filing, which will be made in December 2014. On 9/10/14 AEP and Dayton P&L responded to PJM's request to defer action. On 10/29/15 PJM filed a report and requested continued deferral of action in this docket; PJM committed to file a further report by 11/23/16. On 11/23/16 PJM filed a further report and request for continued deferral of action. On 12/30/16 PJM IMM filed	Q3 2019

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## Open FERC Dockets – Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		a motion to lodge a report on the issues raised and a motion to commence the compliance process set forth in 5/9/14 order. On 1/17/17 PJM Load Group filed answer to IMM motions. On 2/9/17 PJM filed answer. On 1/23/18 PJM IMM filed motion to lodge an updated report on issues under investigation. On 3/9/18 PJM filed incremental auction reforms for approval and requested that FERC continue to defer action in the Replacement Capacity proceeding while it considers PJM's proposed tariff revisions. Waiting for FERC order.	
ER15-2562	DEC, DEP, DEBS	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 19 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. On 6/21/16 FERC granted rehearing for further consideration of the 4/22/16 order. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed in the technical conference. On 5/31/17 PSEG responded in opposition. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and other responded to ConEd's motion. On 3/20/18 ConEd filed motion to reopen record and accept PJM's 2/27/17 answer in EL18-54 which is relevant to this	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		case. Settlement judge procedures continued. [See EL15-67 for update.]	
ER15-2563	DEC, DEP, DEBS	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 12 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. On 6/21/16 FERC granted rehearing for further consideration. On 4/12/17 PJM filed an informational filing on status of the Artificial Island: PJM board approved lifting the suspension. On 4/27/17 ODEC filed comments on the 4/12/17 PJM filing. On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017. During fourth quarter 2017, FERC responded to governors who filed comments. Waiting for FERC order. [See also EL15-95 for update.]	Q3 2019
ER16-372	DEC, DEP, DEBS	PJM revision of its OATT and Operating Agreement to allow Market Sellers to submit day-ahead offers that vary by hour and to allow Market Sellers to update offers in real time on an hourly basis under certain circumstances, filed 11/20/15. On 12/10/15 DEBS intervened on behalf of several affiliates. On 6/17/16 FERC rejected PJM's 11/20/15 filing and directed PJM to submit another compliance filing within 30 days. On 8/16/16 PJM submitted its compliance filing. On 2/3/17 FERC accepted the compliance filing and required a further	Q3 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		compliance filing in 30 days. On 7/31/17 PJM amended its 3/6/17 filing to better describe how PJM will implement hourly offers and calculate penalties. On 9/29/17 PJM informed FERC of readiness to implement intraday offers by 11/1/17. On 6/19/18 PJM filed notice of appellate precedent on Market Monitor standing, and on 6/26/18 the PJM IMM responded. On 4/29/19 FERC conditionally accepted compliance filings and denied motions for clarification. On 6/24/19 FERC granted rehearing for further consideration. On 8/30/19 FERC issued order on rehearing: denying in part and granting in part. On 9/16/19 PJM filed compliance filing. Waiting for FERC order.	
ER17-905	Duke Energy, DEC, DEP	NYISO proposed revisions to Joint Operating Agreement (JOA) with PJM, to address interchange scheduling and the implementation of Market-to-Market (M2M) coordination at the ABC Interface and JK Interface on the border of southeastern NY and northern NJ, filed 1/31/17. On 3/31/17 FERC accepted the filing. During second quarter 2017, parties intervened out-of-time, including Duke Energy on 4/13/17, and several requested rehearing. On 5/26/17 FERC granted rehearing for further consideration. On 10/6/17 FERC accepted PJM filing and denied NYISO request for rehearing. On 12/4/17 FERC granted rehearing for further consideration. Waiting for FERC order.	Q3 2019
ER17-950	Duke Energy, DEC, DEP	PJM proposed revisions to its OATT Schedule 12 appendices to revise cost responsibility assignments for Regional Facilities and Necessary Lower Voltage Facility and Lower Voltage Facilities included in the PJM Regional Transmission Expansion Plan (RTEP) due to termination of long-term firm point-to-point transmission service agreements entered between PJM and ConEd, filed 2/8/17 and amended 2/13/17. During second quarter 2017, parties intervened	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		out-of-time, including Duke Energy on 4/6/17, and several parties filed answers. On 4/25/17 FERC accepted PJM's filings and required a compliance filing. On 4/26/17 PJM filed its compliance filing. On 6/20/17 FERC accepted PJM's compliance filing, and on 6/23/17 FERC granted rehearing for further consideration. See EL15-67 above. Settlement judge procedures continued.	
ER17-1138	Duke Energy, DEC, DEP	PJM revision of OATT and Reliability Assurance Agreement re enhancements to rules governing resources physically outside the PJM region that serve as capacity for loads in the PJM region, filed 3/9/17. On 3/28/17 Duke Energy intervened. On 11/17/17 FERC accepted PJM's proposal subject to conditions and required a compliance filing within 30 days. On 12/15/17 PJM filed compliance filing. On 1/16/18 FERC granted rehearing for further consideration of 11/17/17 order. On 1/18/18 PJM filed answer to request for clarification. On 5/9/18 Southern Power Co. withdrew its request for rehearing, due to FERC order in ER18-1455. Waiting for FERC order.	Q3 2019
ER17-1357	DEC, DEP	Revision of Joint OATT to add Attachment W memorializing the methodology to calculate real power loss factors and to change the real power loss factor for DEC and DEP to be calculated June 1 annually, filed 3/31/17. On 9/27/17 FERC accepted and suspended rates, subject to refund, and established settlement judge procedures. On 12/13/18 DEP and DEP filed an offer of settlement and a motion to implement interim settlement rates. On 12/27/18 FERC granted that motion. On 3/7/19 the ALJ certified the uncontested settlement to the Commission. On 3/12/19 settlement judge procedures were terminated. On 4/18/19 FERC approved settlement agreement. On	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		5/17/19 DEC and DEP filed a settlement compliance filing. On 7/11/19 FERC accepted compliance filing. (Closed 9/30/19)	
ER17-1420	Duke Energy, DEC, DEP	PJM proposed revisions to OATT Schedule 12 Appendix A to incorporate cost responsibility assignments for new baseline upgrades included in the recent RTEP approved by the PJM Board of Managers, filed 4/13/17 and amended 4/28/17. During second quarter 2017, parties intervened, including Duke Energy on 5/18/17. On 6/1/17 FERC accepted PJM's filing. On 10/5/17 FERC issued order that accepted PJM's Tariff revisions, subject to the outcome of pending rehearing requests in EL15-95 and ER15-2563, with new cost assignments for Artificial Island Project to become effective 10/10/17 as requested and the proposed ministerial revisions to become effective 11/30/16. No further activity. [See EL15-95 and ER15-2563.]	Q3 2019
ER17-1553	DEP	Proposed revision to PPA with Fayetteville PWC (FPWC), filed 5/5/17 and supplemented 5/25/17. On 7/20/17 FERC accepted filing. On 10/18/17 FPWC challenged DEP's 2015 true-up. On 4/23/18 Order granted formal challenge. On 5/23/18 DEP requested rehearing. On 6/20/18 FERC granted rehearing for further consideration. On 8/31/18 DEP filed a refund report, per the 4/23/18 order. On 3/19/19 FERC granted DEP's motion to hold request for rehearing in abeyance and to shorten answer period for responding to that motion. On 7/2/19 DEP withdrew its request for rehearing. (Closed 9/30/19)	Q3 2019
ER18-136; ER18-137	Duke Energy, DEC, DEP	MISO and PJM amendment to Joint Operating Agreement with PJM to provide for a phased resolution of issues involving overlapping congestion charges affecting pseudo-tied generation in both RTOs, filed 10/23/17. On 5/31/18 MISO revised the effective date for the Phase 1 solution. On 6/12/18 Duke Energy intervened out-of-time.	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		On 7/31/18 FERC accepted the tariff revisions and required informational filings monthly detailing progress towards filing and implementing a solution to the remainder of the overlapping congestion charges. On 8/30/18 MISO submitted the required filing, and American Municipal Power requested rehearing of 7/31/18 order. On 10/1/18 FERC granted rehearing for further consideration. Waiting for FERC order.	
ER18-462	Duke Energy, DEC, DEP, DEBS	MISO request that FERC reaffirm that its existing Resource Adequacy-related Tariff provisions are just and reasonable, filed 12/15/17. On 12/21/17 Duke Energy intervened. On 2/28/18 FERC accepted MISO's filing. On 3/30/18 several parties requested rehearing of the 2/28/18 order. On 4/27/18 FERC granted rehearing for further consideration. Waiting for FERC order.	Q3 2019
ER18-614	Duke Energy, DEC, DEP	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 45 new baseline upgrades, including the first interregional transmission project between PJM and MISO, filed 1/5/18 and amended 1/9/18. On 2/1/18 Duke Energy intervened. On 7/2/18 FERC issued order on cost allocation report and tariff revisions and instituted a Section 206 proceeding. On 7/31/18 PJM submitted a compliance filing. On 8/1/18 PJM TOs submitted compliance filing. On 8/29/18 FERC granted rehearing for further consideration. On 6/20/19 FERC denied rehearing and accepted compliance filing. On 7/22/19 Linden requested rehearing. On 8/28/19 FERC dismissed rehearing request. (Closed 9/30/19)	Q3 2019
ER18-1314	Duke Energy, DEC, DEP	PJM amendment to Reliability Pricing Model (RPM) rules in its OATT to propose 2 alternatives to address the price suppressing effects of state out-of-market support for certain resources, filed 4/9/18. On 4/30/18 Duke Energy intervened. On 6/29/18 FERC	Q3 2019

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## Open FERC Dockets – Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		rejected the proposed tariff revisions, granted in part and denied in part Calpine's complaint in Docket EL16-49 on PJM's Minimum Offer Price Rule, established a refund effective date, and invited comment in a new Section 206 proceeding, EL18-178. On 7/10/18 Calpine expressed concern about remedy FERC proposed. On 8/29/18 FERC granted rehearing for further consideration. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. [See EL18-178 for update.]	
ER18-1730	Duke Energy, DEC, DEP	PJM amendment to OATT and Operating Agreement (OA) related to pseudo-ties from PJM into MISO, filed 6/1/18. On 6/12/18 Duke Energy intervened. On 7/31/18 FERC accepted tariff revisions. On 10/1/18 FERC granted rehearing for further consideration. Waiting for FERC order.	Q3 2019
ER18-2068	Duke Energy, DEC, DEP	PJM request for temporary waiver of certain Financial Transmission Rights (FTR) rules in the PJM OATT Attachment K Appendix and identical provisions of Operating Agreement Schedule 1, to ensure an orderly and efficient liquidation of the large FTR portfolio of a recently defaulted PJM member, to minimize distortion to the FTR markets, filed 7/26/18 and supplemented 8/24/18. During third quarter 2018, several parties intervened and filed comments, including Duke Energy on 8/16/18. On 1/30/19 FERC denied request for waiver. On 3/26/19 FERC granted rehearing for further consideration. On 8/22/18 FERC denied rehearing. Settlement judge procedures continued.	Q3 2019
ER18-2362	Duke Energy, DEC, DEP	NTE Ohio, LLC proposed cost-based revenue requirement for the provision of Reactive Supply and Voltage Control from Generation Sources Service under Schedule 2 of the PJM OATT, filed 8/30/18. On 9/11/18 Duke Energy intervened. On 10/29/18 FERC established	Q3 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		hearing and settlement judge procedures. Settlement judge procedures continued. On 6/21/19 FERC granted motion for interim implementation of settlement rates. On 6/27/19 NTE Ohio filed compliance filing. On 7/12/19 Settlement Judge issued final report and certified uncontested settlement. On 7/17/19 settlement judge procedures were terminated. On 8/1/19 FERC accepted compliance filing. (Closed 9/30/19)	
ER19-19; ER19-25	Duke Energy, DEC, DEP	PJM revision to its OATT Attachment K and Operating Agreement, filed 10/1/18 in ER19-19. PJM also filed an alternative solution in the event that FERC declined to adopt the FTR proposal, filed 10/1/18 in ER19-25. On 10/22/18 Duke Energy intervened. On 11/29/18 FERC accepted the first proposal subject to conditions and rejected the alternative proposal. On 12/28/18 PJM filed a compliance filing. On 2/1/19 FERC accepted PJM's compliance filing. (Closed 9/30/19)	Q3 2019
ER19-915	Duke Energy, DEC, DEP	MISO revisions to certain generator outage provisions of its Open Access Transmission, Energy, and Operating Reserve Markets Tariff, filed 1/30/19. On 2/19/19 Duke Energy intervened. On 7/29/19 FERC accepted MISO filing. (Closed 9/30/19)	Q3 2019
ER19-1124; ER19-1125	Duke Energy, DEC, DEP	MISO revision to its Open Access Transmission, Energy and Operating Reserve Markets Tariff and new Attachment FF-7 to expand and clarify identification and cost allocation of transmission facilities providing regional and local economic benefits in the MISO footprint, filed 2/25/19. Duke Energy intervened on 2/25/19 (ER19-1125) and 2/28/19 (ER19-1124). On 6/24/19 FERC rejected tariff revisions. (Closed 9/30/19)	Q3 2019
ER19-1156	Duke Energy, DEC, DEP	MISO revision to its Open Access Transmission, Energy and Operating Reserve Markets Tariff to cost allocation methodology for	Q3 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		MISO's share of the cost of certain Interregional Economic Projects with PJM and Southwest Power Pool, Inc., filed 2/28/19. On 3/25/19 Duke Energy intervened. On 6/24/19 FERC rejected proposed revisions and compliance filing and required further compliance filing. (Closed 9/30/19)	
ER19-1507	DEC, DEP	Amendment to Joint OATT provisions re Large Generator Interconnection Procedures, in compliance with Order Nos. 845 and 845-A, filed 4/2/19, 4/24/19 and 5/20/19. On 6/13/19 FERC found the filings deficient. On 7/12/19 DEC and DEP filed compliance filing, corrected 7/24/19. Waiting for FERC order.	Q3 2019
ER19-1576	DEC, DEP	Clean-up revisions to Joint OATT formula transmission rates to delete obsolete provisions, eliminate ambiguity, and correct formatting errors, filed 4/15/19. On 6/11/19 FERC accepted filing. On 6/20/19 DEP filed compliance tariffs. On 9/11/19 DEP withdrew compliance filing and filed a replacement compliance filing. Waiting for FERC order.	Q3 2019
ER19-1651	Duke Energy, DEC, DEP	PJM Offer of Settlement for 2 complaint dockets on automated frequency regulation (EL17-64 and EL17-65) and request for waiver of regulations necessary to effectuate settlement, filed 4/23/19. On 5/21/19 Duke Energy intervened. Waiting for FERC order.	Q3 2019
ER19-1876	DEC, DEP	Petition for waiver of provisions of transmission formula rates to address discrete effects of the Tax Cuts & Jobs Act in 2019 annual updates, filed 5/15/19. On 7/18/19 FERC granted request for waiver. (Closed 9/30/19)	Q3 2019
ER19-1932	DEP	Certificate of Concurrence to Amended Joint Operating Agreement with PJM Interconnection, filed 5/22/19. On 8/5/19 FERC accepted filing. (Closed 9/30/19)	Q3 2019
ER19-2068; ER19-2170	DEC, DEP	Dynamic Transfer Agreements with Towns of Black Creek, Lucama, Sharpsburg, Stantonburg and Winterville, filed by DEC 6/7/19 and	Q3 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		Certificate of Concurrence filed by DEP 6/17/19. On 7/30/19 FERC accepted filing of agreements. (Closed 9/30/19)	
ER19-2105	DEC, DEP	PJM Transmission Owners' revisions to PJM OATT Schedule 7 and 8 to update the PJM Border rate, filed 6/11/19. Duke Energy intervened on 6/28/19. Comment and protest period continued.	Q3 2019
ER19-2106	DEP	Petition for waiver of provisions of formula rate power sales agreements with wholesale customers to address discrete effects of the Tax Cuts & Jobs Act in annual process to true-up 2018 rates, filed 6/11/19. On 8/6/19 FERC granted request for waiver. (Closed 9/30/19)	Q3 2019
ER19-2258	DEC	Revised NITSA with NCEMC, filed 6/26/19. On 8/13/19 FERC accepted filing. (Closed 9/30/19)	Q3 2019
ER19-2374; ER19-2379	DEC, DEP	Affected System Operating Agreement between DEC and DEP, designated as OATT Service Agreement No. 514, filed 7/10/19 and withdrawn 7/30/19. Docket ER19-2379 is DEP's concurrence filing, filed 7/10/19 and withdrawn 7/30/19. (Closed 9/30/19)	Q3 2019
ER19-2417	Duke Energy, DEC, DEP	PJM's Proposed Tariff Amendments Regarding the Process for Removal of Capacity Resource Status of an Existing Generation Capacity Resource, to apply beginning with the Base Residual Auction for the 2023/2024 Delivery Year, filed 7/18/19. Duke Energy intervened 8/7/19. On 9/16/19 FERC accepted tariff revision and required PJM compliance filing within 30 days.	Q3 2019
ER19-2459	DEC	Revised, unexecuted Network Integration Transmission Service Agreement with NCEMC, filed 7/25/19. On 9/23/19 FERC rejected the filing. (Closed 9/30/19)	Q3 2019
ER19-2468	DEC	Request to Recover Cancelled Nuclear Plant Costs, filed 7/26/19. On 9/25/19 FERC accepted request. (Closed 9/30/19)	Q3 2019



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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
ER19-2532	DEP	Notice of Cancellation of Contribution in Aid of Construction Agreement with Fayetteville PWC, filed 8/5/19. On 9/6/19 FERC accepted filing. (Closed 9/30/19)	Q3 2019
ER19-2634	DEC	Amended and Restated Power Purchase Agreement with Piedmont Municipal Power Agency, filed 8/19/19. Waiting for FERC order.	Q3 2019
PL18-1	Duke Energy, DEC, DEP	Notice of Inquiry re certification of new interstate natural gas facilities in light of changes in the natural gas industry and increased stakeholder interest in how FERC reviews natural gas pipeline proposals, issued 4/19/18. On 8/16/18 Duke Energy filed comments on behalf of its subsidiaries. Comment period continued. No further activity.	Q3 2019
PL19-3	Duke Energy, DEC, DEP	Notice of Inquiry re FERC's electric transmission incentives policy, issued 3/21/19. On 6/26/19 Duke Energy filed comments. Comment period continued.	Q3 2019
P-432	DEP	Walters Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q3 2019
P-2206	DEP	Yadkin-Pee Dee Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 10/29/18 FERC acknowledged notification of the inoperability of the Blewett Falls Hydro Station due to extreme flooding conditions experienced during	Q3 2019

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		Hurricane Florence. License Amendment: On 11/20/18 DEP filed a Non-Capacity License Amendment Application for several changes to the license, one of which is the installation of an inflatable gate on the Blewett Falls Spillway that will replace the existing wooden flash board system. Other changes include minor edits to Exhibit A, a revised Authorized Installed Capacity due to the calculation using 18 CFR 11(i), and revisions to the upstream American eel and downstream American shad passage requirements of the New License. On 5/8/19 FERC issued an Order approving the License Amendment, with the exception of the Authorized Installed Capacity. This will be recalculated to include the house unit at the Tillery Development. On 7/5/19 DEP filed the revised Exhibit F's required by the approved license amendment.	
P-2232	DEC	Catawba-Wateree Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. We filed our compliance report for 2018 operations by 6/30/19.	Q3 2019
P-2331	DEC	Ninety-Nine Islands Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q3 2019
P-2332	DEC	Gaston Shoals Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action	Q3 2019

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		<p>plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer Project License to Northbrook Carolina Hydro II, LLC consistent with a pending sales agreement that includes the Gaston Shoals Project and other DEC hydro assets. FERC issued an Order Approving Transfer of Licenses for Gaston Shoals and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19, the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. However, due to the extended timeframe then required for finalizing the transfer documents, on 7/29/19, DEC and Northbrook requested a second extension for the filing until 10/10/19. Northbrook filed the final signed acceptance sheets and instruments of conveyance with the FERC on 8/20/19, including a signed bill of sale for the Gaston Shoals property dated 8/16/19.</p>	
P-2380	DEP	Marshall Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions,	Q3 2019

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		recreation planning and development, spillway gate operations and wildlife protection plans.	
P-2503	DEC	Keowee-Toxaway Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q3 2019
P-2601	DEC	Bryson Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Bryson Hydro Project and other DEC hydro assets. FERC issued Order Approving Transfer of Licenses for Bryson and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. However, due to the extended timeframe then required for finalizing	Q3 2019

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		the transfer documents, on 7/29/19, DEC and Northbrook requested a second extension for the filing until 10/10/19. Northbrook filed the final signed acceptance sheets and instruments of conveyance with the FERC on 8/20/19, including a signed bill of sale for the Bryson property dated 8/16/19.	
P-2603	DEC	Franklin Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Franklin Hydro Project and other DEC hydro assets. FERC issued Order Approving Transfer of Licenses for Franklin and the other projects 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. However, due to the extended timeframe then required for finalizing the transfer documents, on 7/29/19, DEC and Northbrook requested a second extension for the filing until 10/10/19. Northbrook filed the final signed acceptance sheets and instruments of conveyance with	Q3 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		the FERC on 8/20/19, including a signed bill of sale for the Franklin property dated 8/16/19.	
P-2619	DEC	<p>Mission Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Mission Hydro Project and other DEC hydro assets. FERC issued Order Approving Transfer of Licenses for Mission and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. However, due to the extended timeframe then required for finalizing the transfer documents, on 7/29/19, DEC and Northbrook requested a second extension for the filing until 10/10/19. Northbrook filed the final signed acceptance sheets and instruments of conveyance with the FERC on 8/20/19, including a signed bill of sale for the Mission property dated 8/16/19.</p>	Q3 2019

## Attachment A

## Open FERC Dockets – Third Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
P-2686	DEC	West Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q3 2019
P-2692	DEC	Nantahala Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q3 2019
P-2694	DEC	Queens Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q3 2019
P-2698	DEC	East Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q3 2019
P-2740	DEC	Bad Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions,	Q3 2019

## Attachment A

## Open FERC Dockets – Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		recreation planning and development, spillway gate operations and wildlife protection plans. On 4/23/18 DEC filed a Non-Capacity Amendment Application with the FERC to upgrade all four generating units at the project resulting in an increase of the installed capacity from 1065 MW to 1400 MW. On 8/6/18 FERC issued an Order approving the license amendment request to upgrade the generating units.	
RP18-1126	DEC, DEP	Transco rate increase application, filed 8/31/18. During third quarter 2018, many parties intervened, filed comments and protests including DEC and DEP on 9/12/18. On 9/28/18 FERC issued an order accepting and suspending the tariff records, subject to refund and establishing hearing and settlement procedures. Settlement procedures continued.	Q3 2019
RP19-63	DEP	East Tennessee Natural Gas report on effect of Tax Cuts and Jobs Act, filed 10/11/18. On 10/23/18 DEP intervened. On 11/30/18 FERC accepted the rate reduction and established a hearing schedule to consider whether a further rate decrease is justified. Procedural schedule was set 1/2/19 (with decision expected by 1/8/20). Proposed settlement was filed 5/23/19. On 8/8/19 Settlement Judge certified an uncontested settlement. Waiting for FERC order.	Q3 2019
RP19-987	DEC, DEP	East Tennessee Natural Gas, LLC 2017-2018 cash-out Report, filed 3/28/19. On 4/9/19 DEC and DEP intervened. Waiting for FERC order.	Q3 2019
RP19-1331	DEC, DEP	Transco revision of Rate Schedule FT to more clearly describe the service rights of certain Conversion Buyers and clarify the calculation of reservation charges applicable to those Conversion Buyers' service agreements, filed 6/25/19. On 7/9/19 DEC and DEP intervened. On 7/11/19 FERC accepted tariff revision. (Closed 9/30/19)	Q3 2019



## Attachment A

## Open FERC Dockets – Third Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
RP19-1480	DEC, DEP	SNG update of transportation fuel retention rates for the period 10/1/19 through 3/31/20 (i.e., winter season) under Rate Schedules FT, FT-NN, IT, and under Liquefiable Transportation Agreements to reflect changes in consumption and to flow through any over or under collections experienced during the prior winter season, filed 8/22/19. On 8/30/19 DEC and DEP intervened. On 9/10/19 FERC accepted SNG filing. (Closed 9/30/19)	Q3 2019
RP19-1523	DEC, DEP	Panhandle Eastern Pipe Line Company, LP general rate increase, filed 8/30/19. On 9/10/19 DEC and DEP intervened. On 9/30/19 FERC suspended rates, rejected tariff revisions, established hearing procedures and technical conference.	Q3 2019
RP19-1547	DEC, DEP	Transco revised Rate Schedules WSS-OA, LSS and SS-2 to include provisions for reservation charge credits, filed 9/6/19. On 9/18/19 DEC and DEP intervened. Waiting for FERC order.	Q3 2019
RP19-1556	DEC, DEP	Transco annual cash-out report for period ending 7/31/19. On 9/19/19 DEC and DEP intervened. Waiting for FERC order.	Q3 2019
TS19-1	Duke Energy, DEC, DEP	AMP Transmission, LLC application for waiver of standards of conduct requirements, filed 10/12/18. On 11/1/18 Duke Energy intervened on behalf of utilities. On 9/6/19 FERC requested additional information.	Q3 2019

**Attachment B**

**Periodic Reports Filed with FERC – Third Quarter 2019**

<b>Docket No(s).</b>	<b>Filing Entity (Duke Energy, DEC, DEBS)</b>	<b>Description of Report</b>
N/A	DEC, DEP	Form 3Q – Quarterly Financial Report

## Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
RM16-17	Duke Energy	Notice of Proposed Rulemaking (NOPR) to collect data for analytics and surveillance purposes from MBR sellers and entities trading virtual products or holding financial transmission rights, issued 7/21/16. On 9/16/16 Duke Energy submitted comments to supplement those of EEI, focusing on the path to create an implementable Data Dictionary and recommending a supplemental NOPR to address software development time and costs. During third quarter 2016, FERC held a technical workshop 8/11/16 on the draft Data Dictionary. On 11/2/16 FERC scheduled a second technical workshop to be held 12/7/16. <del>No further activity.</del> <u>On 7/19/19 FERC issued Final Rule, Order No. 860. Following requests for clarification, FERC granted rehearing for further consideration on 9/16/19. Waiting for FERC order.</u>	<del>Q3</del> 2019
<del>RM16-23</del>	<del>Duke Energy, DEC, DEP</del>	<del>NOPR to revise regulations to remove barriers to the participation of electric storage resources and distributed energy resource aggregations in RTO/ISO capacity, energy and ancillary service markets, issued 11/16/16. On 2/13/17 Duke Energy filed comments on behalf of its affiliates, urging FERC to treat energy storage resources in a comparable manner to current resources. On 2/15/18 FERC issued its final rule, Order No. 841, requiring each RTO/ISO to revise tariffs to establish a participation model with market rules that facilitate participation of electric storage resources in RTO/ISO markets, to be effective 90 days after publication in the Federal Register. On 2/28/18 FERC issued an errata notice correcting the table of contents for Order No. 841. During first quarter 2018, several parties filed motions for clarification or requests for rehearing. During second quarter 2018, comments, answers to requests for rehearing, and another request for rehearing were filed. On 4/13/18 FERC</del>	<del>Q2</del> 2019

## Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>granted rehearing for further consideration. On 6/26/18 Xcel Energy Services requested rehearing. On 11/16/18 FERC issued Correcting Amendment, which restores regulatory text from Order Nos. 831 and 831-A as new paragraph 18 CFR § 35.28(g)(11) but doesn't alter previous requirements or effective dates. On 5/16/19 FERC issued order on rehearing and clarification, Order No. 841-A, reaffirming Order No. 841. (Closed 6/30/19)</del>	
RM17-8	Duke Energy	NOPR on reform of large generator interconnection procedures and agreements, issued 12/15/16. During first and second quarters 2017, many parties filed comments, including Duke Energy on 4/13/17. On 2/2/18 FERC issued notice of technical conference to be held 4/3-4/18 to discuss issues related to coordination of affected systems raised in complaint filed by EDF Renewable Energy, Inc. against MISO, SPP and EJM in EL18-26 and the NOPR on generator interconnection in this docket. On 4/19/18 FERC issued Order No. 845, final rule amending the <i>pro forma</i> large generator interconnection procedures (LGIP) and agreement (LGIA), to improve certainty and enhance interconnection processes, effective 75 days after publication in the Federal Register. On 6/18/18 FERC granted rehearing for further consideration. On 10/3/18 FERC extended the date for compliance with Order No. 845. On 10/15/18 AWEA filed a request for rehearing of that order, which FERC subsequently denied on 11/13/18. On 2/21/19 FERC granted requests for rehearing of Order No. 845. On 4/23/19 FERC granted rehearing for further consideration. <u>On 8/16/19 FERC issued order on rehearing and clarification. (Closed 9/30/19)</u>	Q <del>32</del> 2019
RM18-1	Duke Energy	Notice inviting comments on DOE rulemaking on grid reliability and resilience pricing, issued 10/2/17. On 10/23/17 Duke Energy filed	Q <del>32</del> 2019

## Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		initial comments. On 11/23/17 Duke Energy filed reply comments. During fourth quarter 2017, thousands of comments were filed. On 1/8/18 FERC terminated the rulemaking proceeding, initiated a new proceeding (AD18-7) to evaluate the resilience of the bulk power system in RTO/ISO regions, and required RTOs/ISOs to submit information, within 60 days, on resilience issues and concerns raised in comments. During first quarter 2018, more comments were filed and one request for rehearing. On 3/8/18 FERC granted rehearing for further consideration. On 12/18/18 NRDC et al filed a motion for recusal of Commissioner McNamee. <del>No further activity.</del> <u>Waiting for FERC order.</u>	
RM19-5	Duke Energy, DEC, DEP	NOPR to require all transmission providers with transmission rates under an OATT, a transmission owner tariff, or a rate schedule to revise those rates to account for changes caused by the Tax Cuts and Jobs Act of 2017, issued 11/15/18. On 1/22/19 Duke Energy intervened. Comments were filed. <del>No further activity.</del> <u>Waiting for FERC order.</u>	Q <del>32</del> 2019
AC13-170	DEP	DEP filed request to use Account 182.2 “Unrecovered Plant” on 9/18/13. On 10/2/13 NCEMPA challenged the request. FERC allowed comments until 10/28/13. NCEMPA, Fayetteville PWC and NCEMC all filed protests by 10/28/13. DEP responded to the protests on 11/13/13. On 10/20/14 DEP filed a motion to hold application in abeyance. On 10/24/14 DEP filed settlement agreement resolving all issues. On 11/13/14 FERC Trial Staff filed comments on the settlement, and on 11/21/14 DEP filed reply comments. <u>Waiting for FERC order.</u> <del>No further activity.</del>	Q <del>32</del> 2019
AC19-75	Duke Energy	Request for approval to treat Cybersecurity Informational Technology-Operational Technology program as a single project for	Q <del>32</del> 2019

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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		purposes of in-service and accrual of Allowance for Funds Used During Construction, filed 3/13/19. On 4/16/19 Duke Energy filed its answer to protests. Waiting for FERC order.	
AC19-110	DEC	Accounting entries related to Buck early retired plant, filed 4/18/19 and supplemented 6/14/19, per settlement agreement in EL17-83 and ER19-718. <u>On 7/25/19 FERC approved request. (Closed 9/30/19)</u>	Q <del>32</del> 2019
<u>AC19-178</u>		<u>Request for approval to use Account 182.2, Unrecovered Plant and Regulatory Study Costs, to account for the costs related to the cancellation of Lee Nuclear Units 1 &amp; 2, filed 7/26/19 and supplemented 9/27/19. Waiting for FERC order.</u>	<u>Q3 2019</u>
AD16-16	Duke Energy	Notice of Technical Conference on PURPA implementation, to be held 6/29/16, issued 2/9/16. On 6/7/16 Duke Energy filed comments and speaker materials of Kendal Bowman, urging FERC to return to a needs-based implementation of PURPA, with rates established through actual incremental bona fide offers in a nondiscriminatory process. On 9/6/16 FERC invited post-conference comments by 11/7/16. On 11/7/16 Duke Energy Corp. filed comments urging FERC to implement PURPA in a manner that reflects all of the goals in the statute, the principles of cooperative federalism set forth in PURPA, and decades of judicial and Commission precedents; and encouraged FERC not to intrude into the States' PURPA rate-making jurisdiction in order to prioritize a single subordinate element of §210(a), e.g. mandating long-term contracts. Many other parties filed comments. <u>On 9/19/19 FERC issued a notice of qualifying rates and requirements implementation issues with PURPA; Commissioner Glick dissented in part. (Closed 9/30/19) Waiting for FERC order.</u>	Q <del>32</del> 2019
AD16-18	Duke Energy	Competitive Transmission Development – Technical Conference. On 5/31/16 Duke Energy filed comments prior to the 6/27-28/16	Q <del>32</del> 2019

## Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		technical conference, stating that it's far too soon after implementation of Order No. 1000 to embark on reforms. During second quarter 2016, many other parties filed comments and speaker materials. On 10/3/16 Duke Energy Corp. filed comments stating that Duke has no objection to FERC issuing policy guidance on cost containment mechanisms proposed in competitive transmission processes as warranted and requested, but does not support the issuance of a rulemaking at this time or the sort of guidance that triggers new processes where existing processes are meeting the goals of Order No. 1000. On 7/2/18 the US House Subcommittee on Energy requested an update on FERC's reconsideration of Order No. 1000 requirements, noting that FERC has not taken any action on reconsideration. Waiting for FERC order.	
AD16-20	Duke Energy	<del>Data requests to RTOs/ISOs on Electric Storage Participation in Regions with Organized Markets, issued 4/11/16. On 6/6/16 Duke Energy submitted comments expressing support for FERC efforts to better understand RTO/ISO market rules' impact on storage technology and participation of energy storage resources in wholesale markets. During second quarter 2016, the RTOs/ISOs responded to the data request. On 11/17/16 FERC issued a NOPR on electric storage participation in RTO/ISO markets. On 2/15/18 FERC issued Order No. 841, final rule in electric storage participation in RTO/ISO markets. On 4/13/18 FERC granted rehearing for further consideration. During second quarter 2018, Advanced Energy Economy filed an answer to requests for rehearing, and Xcel filed a request for rehearing. On 11/16/18 FERC issued an amendment correcting an error in 18 CFR 35.28(g), caused by an omission in Order Nos. 831 and 831-A. On 5/16/19 FERC issued order on</del>	Q2-2019

## Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>rehearing and clarification, Order No. 841-A, reaffirming Order No. 841. (Closed 6/30/19)</del>	
AD17-11	Duke Energy	Notice of Technical Conference re State Policies and Wholesale Markets Operated by ISO-NE, NYISO and PJM, issued 3/3/17. Technical conference was held 5/1/17 and 5/2/17. During second quarter 2017 hundreds of parties filed comments before and after the technical conference, including Duke Energy on 6/22/17. Per 6/30/17 notice granting extension, reply comments were due 7/7/17. During third quarter 2017, many parties intervened and filed comments. During first quarter 2018, the American Council on Renewable Energy filed comments, and FERC responded to letters from members of Congress. Waiting for FERC order.	<del>Q32</del> 2019
AD18-7	Duke Energy, DEC, DEP	New proceeding to evaluate the resilience of the bulk power system in regions operated by RTOs/ISOs, directing each RTO/ISO to submit information within 60 days, allowing comments 30 days after RTO/ISO submissions, and terminating RM18-1, issued 1/8/18. On 3/8/18 FERC granted rehearing for further consideration. On 3/9/18 the RTOs filed the required information. On 5/9/18 Duke Energy filed reply comments. Many other parties filed reply comments. During fourth quarter 2018, two parties filed motions for recusal of Commissioner McNamee. Waiting for FERC order.	<del>Q32</del> 2019
AD18-10	Duke Energy, DEC, DEP	Notice of Technical Conference to be held 4/10-11/18 to discuss the participation of distributed energy resource (DER) aggregations in RTO and ISO markets and to more broadly discuss the potential effects of DERs on the bulk power system, issued 2/15/18. On 3/29/18 FERC issued the agenda. On 4/10/18 FERC issued the transcript of the technical conference. On 4/27/18 FERC allowed comments to be filed within 60 days. On 6/26/18 Duke Energy Corp.	<del>Q32</del> 2019



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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		filed comments. During second quarter 2018, several other parties filed comments. Comments filed. Waiting for FERC order.	
CP13-551	DEC, DEP	Transcontinental Gas Pipe Line Co. (Transco) application for a certificate of public convenience and necessity to construct and operate the Leidy Southeast Project, to be in service by 12/1/15, filed 9/28/13. DEC and DEP intervened on 10/23/13. On 6/12/14 FERC issued its schedule for environmental review of the project. On 12/18/14 FERC issued certificate and approved abandonment of facilities. On 12/19/14 filed its acceptance of certificate. On 4/28/15 and 5/19/15 FERC granted Transco's requests to proceed with construction of certain facilities for the project. On 7/13/15 FERC granted Transco's request to use areas as additional temporary workspace. On 12/30/15 and 12/31/15 FERC authorized commencement of service for facilities but required Transco to continue filing status reports until restoration activities are complete. On 3/3/16 FERC denied requests for rehearing of 12/18/14 order granting CPCN. During second and third quarters 2016, Transco filed various reports. On 11/28/16 FERC granted the request to place the Skillman Loop in service. Construction and restoration activities continued.	Q <del>32</del> 2019
CP14-68	Duke Energy, DEC, DEP, DEBS	Texas Eastern Transmission, LP abbreviated application for a certificate of public convenience and necessity (CPCN) for its proposed Ohio Pipeline Energy Network Project to deliver production from Utica and Marcellus Shale to Gulf Coast area markets, filed 1/31/14 and revised 3/7/14. Duke Energy intervened on behalf of DEC, DEP, DEBS and other utilities on 2/11/14. On 2/25/14 DEC and DEP also intervened separately. On 6/24/14 FERC issued its schedule for environmental review of the project, which was revised	Q <del>32</del> 2019

## Attachment A

Open FERC Dockets – ~~Second~~Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p>on 8/11/14. FERC issued environmental assessment 8/22/14. On 12/2/14 FERC issued a certificate. On 12/5/14 Texas Eastern filed its acceptance of the certificate and subsequently on 12/15/14 and 12/22/14 submitted its implementation plan. On 4/16/15 FirstEnergy intervened out of time and requested a stay of construction authorized in Columbiana County, OH. On 4/24/15 Texas Eastern objected to the late intervention and the stay. On 4/30/15 FirstEnergy filed its answer and renewed its request for expedited action on its motion for stay. After FERC opened a new docket (RP15-971), FirstEnergy on 5/6/15 filed a motion for abeyance of action on prior motions in this docket. On 11/17/15 FERC granted request to place in service the remaining OPEN facilities not included in prior in-service approvals. On 12/1/15 Texas Eastern filed notice of commencement of service on those remaining facilities. Post-construction activities continued.</p> <p><u>No further activity.</u></p>	
CP15-16	DEC, DEP	<p>Transco application for a CPCN for Hillabee Expansion Project, filed 11/18/14, and supplemented on 12/22/14 and 12/23/14. On 12/22/14 DEC and DEP intervened. On 5/13/15 FERC issued schedule for environmental review of the Southeast Market Pipelines Project, of which Transco's application is part. On 2/2/16 FERC issued CPCN. On 3/2/16 Transco filed its acceptance of CPCN, and several parties filed requests for rehearing of 2/2/16 order. On 3/29/16 FERC granted rehearing for further consideration of its 2/2/16 order. On 9/7/16 FERC denied requests for clarification of its 2/2/16 order with respect to Transco accounting for fuel costs via fuel tracker filings. On 5/18/17 Sierra Club asked FERC to deny requests until DC Circuit Court rules on pending matter. On 9/27/17 FERC issued Draft Supplemental EIS. On 2/2/18 Transco filed an emergency request for</p>	Q <del>3</del> 2019

## Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		issuance of the Final Supplemental EIS concurrently with an order on remand reissuing the certificates for Sabal Trail, Florida Southeast Connection and the Hillabee expansion projects (the Southeast Market Pipeline or SMP), to allow continued gas transportation service following the DC Circuit Court's 8/22/17 decision and 1/31/18 order denying rehearing. On 2/5/18 FERC issued the Final Supplemental EIS. On 3/14/18 FERC issued its order on remand, reinstating certificates and abandonment authority for the SMP project. On 8/10/18 FERC denied requests for rehearing and dismissed request for stay as moot. Construction activities continued.	
CP15-29	DEC, DEP	Transco's application for a CPCN for Gulf Trace Expansion Project, filed 12/15/14. On 1/12/15 DEC and DEP intervened. On 10/21/15 FERC issued the CPCN, with conditions including completion of construction and placement into service within 2 years. On 11/3/15 Transco accepted the CPCN. On 11/20/15 Transco requested clarification or rehearing on air emissions modeling requirements. On 12/14/15 FERC granted rehearing for further consideration. On 6/16/16 FERC dismissed Transco's rehearing request as moot. During fourth quarter 2016, FERC issued Environmental and Construction Inspection Reports and granted the request to place the Duralde Meter Station facilities into service. On 9/10/18 FERC issued inspection report finding Transco in compliance with the environmental conditions of the 10/21/15 Order Issuing Certificate for the Project and recommending no further inspections. Restoration activities continued.	Q <del>32</del> 2019
<del>CP15-89</del>	<del>DEC, DEP</del>	<del>Transco's application for an abbreviated CPCN for Garden State Expansion Project, filed 2/18/15. On 3/23/15 DEC and DEP intervened. On 2/18/16 Transco filed draft implementation plan. On</del>	<del>Q2 2019</del>

## Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>3/28/16 Transco renewed its request for prompt approval of CPCN. On 4/7/16 FERC issued certificate. On 4/11/16 Transco accepted certificate. On 6/8/16 FERC denied the stay but granted rehearing for further consideration. On 7/1/16 Transco filed a request for partial notice to proceed with construction. On 11/9/16 FERC denied requests for rehearing. On 1/6/17 the town of Bordentown, NJ filed a petition for review with the 3d Cir. Court of Appeals. On 3/22/17 Bordentown protested the request for a notice to proceed. On 3/24/17 FERC approved the 3/21/17 request to construct the Chesterfield Meter Station and related equipment. On 3/29/17 Bordentown filed a motion for stay of the 3/24/17 notice to proceed. On 4/3/17 Transco filed answer to 3/29/17 motion to stay. On 9/8/17 FERC allowed Transco to commence service of Phase I facilities. On 3/9/18 Transco requested to place into service phase 2 of the Garden State Expansion project, which FERC granted 3/16/18. D On 3/29/18 Transco notified FERC that phase 2 had been placed into service on 3/23/18. During second and third quarters 2018, Transco filed various reports and certification of environmental compliance. On 9/5/18 3<sup>rd</sup> Cir. Court upheld FERC order. During fourth quarter 2018, Transco filed quarterly status report. Restoration activities were completed in first quarter 2019. On 6/10/19 FERC issued construction inspection report indicating that future inspections weren't needed. (Closed 6/30/19)</del>	
CP15-117	DEC, DEP	Transco's application for an abbreviated CPCN for the Dalton Expansion Project, filed 4/1/15. On 4/22/15 DEC and DEP intervened. On 8/3/16 FERC issued a CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. On 3/29/17 FERC authorized Transco to begin partial	Q <del>32</del> 2019

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Open FERC Dockets – ~~Second~~Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		path interim service. On 7/26/17 FERC granted the request to commence service on the remaining facilities. On 8/4/17 Transco notified FERC that the facilities had been placed in service. On 11/21/17 FERC denied requests for rehearing. On 12/14/17 the US Dept. of the Interior filed comments. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1020). During third and fourth quarters 2018, Transco filed various reports. Post-construction activities continued.	
CP15-118	DEC, DEP	Transco's application for CPCN for Virginia Southside Expansion Project II, filed 3/23/15. On 4/22/15 DEC and DEP intervened. On 7/7/18 FERC issued the CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. On 9/13/17 FERC issued an environmental inspection report. On 11/21/17 FERC denied requests for rehearing. On 11/27/17 FERC granted authorization to commence service. On 12/5/17 Transco filed notice that service commenced 12/1/17. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). During fourth quarter 2018, FERC issued a construction inspection report. Post-construction activities continued.	Q <del>32</del> 2019
CP15-138	DEC, DEP	Transco's application for an abbreviated CPCN for Atlantic Sunrise Project, filed 3/30/15. On 4/29/15 DEC and DEP intervened. On 2/3/17 FERC issued CPCN with conditions, and on 2/6/17 Transco accepted the CPCN. On 3/13/17 FERC granted rehearing for further consideration of its 2/3/17 ruling. On 3/29/17 FERC granted Transco's request to proceed with constructing meter and regulator	Q <del>32</del> 2019

## Attachment A

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		facilities in NC. On 5/11/17 Hilltop Hollow LP filed a petition for review of FERC's 2/3/17 and 3/13/17 orders with the DC Circuit Court. On 8/31/17 FERC denied Allegheny's 2/10/17 request for stay, which led to several requests for rehearing. On 9/26/17 Transco filed its answer to those filings. On 1/19/18 NCUC filed petition for review with the DC Cir. Court. On 3/1/18 FERC denied Sierra Club's requests for rehearing. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). On 5/15/18 FERC granted request to begin partial path service for certain facilities, which Transco placed in service 6/1/18. On 10/4/18 FERC issued authorization to place facilities in service. On 10/7/18 Transco notified FERC that facilities had been placed in service. On 11/14/18 Transco filed a motion to amend the Certificate. Post-construction activities continued.	
CP15-499	DEC, DEP	Texas Eastern Transmission, LP's application for an abbreviated CPCN for its proposed South Texas Expansion Project, filed 5/21/15. On 6/23/15 DEC and DEP intervened. On 2/15/18 FERC issued certificate with conditions. On 3/27/18 Texas Eastern filed its notice of commencement of construction. During third quarter 2018, FERC issued an inspection report finding Texas Eastern in compliance with the 2/15/18 Order Issuing Certificate On 11/28/18 FERC authorized Texas Eastern to commence service. On 12/11/18 Texas Eastern notified FERC that certain facilities had been placed in service. Post-construction and restoration activities continued.	Q <del>32</del> 2019
CP15-554; CP15-555; CP15-556	DEC, DEP	Atlantic Coast Pipeline (ACP) application, filed by Dominion Transmission, ACP and Piedmont Natural Gas Co. On 2/17/17 DEC and DEP filed supplemental comments in support of the pipeline. On 7/21/17 FERC issued the final EIS. On 10/13/17 FERC granted	Q <del>32</del> 2019

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		certificate with conditions. On 12/11/17 FERC granted rehearing for further consideration. On 3/14/18 Appalachian Voices filed petition for writ with 4 <sup>th</sup> Circuit Court to stay FERC's 10/13/17 order authorizing construction. On 3/26/18 FERC granted rehearing for further consideration (of order allowing limited tree felling), following protests. On 3/28/18 FERC denied request to modify time-of-year tree felling restrictions. On 5/11/18 Defenders of Wildlife et al. filed a motion for stay and request for rehearing. During second quarter 2018, ACP filed supplemental information, various reports, requests for variance, and a response to motion for stay; and FERC responded. On 6/28/18 FERC issued a data request. During third quarter 2018, ACP filed supplemental information and responses to FERC data requests; parties filed comments and petitions for review with the 4th Circuit Court of Appeals; and FERC issued environmental compliance monitoring reports, variance requests and authorizations to proceed with work in NC, WV and PA. FERC also granted rehearing for further consideration of several orders and letter orders. During fourth quarter 2018, FERC issued environmental monitoring reports; and opposition to the pipeline continued in the DC and 4th Circuit Courts. Construction activities <u>have been halted, but weekly reports</u> continued. [See more detailed reports filed in NCUC Docket Nos. E-2, Sub 1052 and E-7, Sub 1062.]	
CP16-3	DEC, DEP	Texas Eastern Transmission, LP's application for an abbreviated CPCN for proposed Access South, Adair Southwest and Lebanon Extension Projects, filed 10/8/15. On 11/9/15 DEC and DEP intervened. On 12/21/16 FERC issued the CPCN and approved abandonment of facilities. On 12/29/16 Texas Eastern filed its Initial Implementation Plan. On 1/9/17 Texas Eastern accepted the CPCN	Q <del>32</del> 2019



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		terms. On 3/31/17 FERC granted Texas Eastern's request to begin construction of the Berne Compressor Station in Ohio. During second quarter 2017, FERC issued an Environmental Inspection Report. On 7/19/17 FERC granted request to place facilities in service. On 8/8/17 Texas Eastern notified FERC that facilities commenced service 8/1/17. On 10/31/17 FERC authorized Texas Eastern to place Access South and Adair Southwest Projects into interim service. On 11/9/17 Texas Eastern filed notice that the 2 projects had been placed in interim service on 11/1/17. Texas Eastern filed on 5/3/18 its final notice of commencement of service. Restoration activities continued.	
CP16-10	DEC, DEP	Mountain Valley Pipeline (MVP) application for CPCN to build 301 miles of new interstate natural gas pipeline, 3 compressor stations and other facilities in WV and VA, filed 10/23/15, requesting a final order by 10/15/16. On 11/12/15 DEC and DEP intervened. On 3/31/17 FERC revised the schedule for environmental review. On 6/23/17 FERC issued the Final Environmental Impact Statement. On 10/13/17 FERC issued certificate, subject to 41 conditions. On 10/20/17 MVP accepted the certificate. On 12/13/17 FERC granted rehearing for further consideration of 10/13/17 order. On 3/26/18 FERC granted rehearing for further consideration of various 2018 letter orders authorizing construction. During second and third quarters 2018, many parties filed comments and petitions for review with the DC Circuit Court of Appeals; and FERC authorized construction on some segments. During fourth quarter 2018, the Army Corps of Engineers suspended its permit after the 4th Cir. Court of Appeals vacated the permit; and FERC issued environmental monitoring reports. Construction activities continued.	Q <del>32</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
CP16-494	DEC	Transco abbreviated CPCN application to build and operate the Gulf Connector Expansion Project, filed 8/16/16, an expansion of its interstate transmission system in Texas and Louisiana. On 9/15/16 DEC and DEP intervened. On 9/21/17 FERC issued its Environmental Assessment of the project. On 11/21/17 FERC granted a CPCN for the project, subject to conditions. On 12/1/17 Transco accepted the CPCN. On 12/6/17 Transco filed its implementation plan. On 1/10/18 FERC authorized construction of facilities. On 2/13/18 Transco notified FERC that construction began 2/8/18. During third and fourth quarters 2018, Transco filed status reports and a request to amend the certificate to reflect increased capital costs for the Project, replace the proposed phasing-in of the Project with a single in-service date, and revise the initial recourse rate for firm transportation service. On 11/20/18 FERC amended the CPCN that had been granted 11/21/17. On 12/6/18 Transco notified FERC that certain facilities had been placed in service. Post-construction activities continued. <u>On 9/26/19 FERC granted Transco's request and vacated certificate in part, concerning portions of the project Transco no longer intends to construct.</u>	Q <del>32</del> 2019
CP16-501	DEC, DEP	Texas Eastern's abbreviated application for CPCN for proposed Marshall County Mine Panel Project in WV, filed 9/15/16. On 10/20/16 DEC and DEP intervened. On 1/26/17 FERC issued a CPCN, and on 2/7/17 Texas Eastern accepted the CPCN order. During first quarter 2017, Texas Eastern filed a notice to proceed with construction, and FERC approved it 3/7/17. On 4/5/17 Texas Eastern filed a Notice of Commencement of Construction. Texas Eastern filed a notice of commencement of service on 8/28/18. On 11/8/18 Texas Eastern notified FERC that construction and initial restoration	Q <del>32</del> 2019

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		activities have been completed; quarterly reports will be filed until restoration work is complete. Restoration activities continued.	
CP17-6	DEC, DEP	Texas Eastern abbreviated application for approval to abandon 16.5 miles of pipeline facilities previously removed from active gas service in OH, WV and PA, filed 10/28/16. On 11/30/16 DEC and DEP intervened. On 6/15/17 FERC approved abandonment of the facilities, with environmental conditions. On 2/21/18 FERC granted the request to proceed with abandonment. On 11/2/18 Texas Eastern notified FERC that abandonment activities had been completed. Restoration activities continued.	<del>Q3</del> 2019
CP17-46	DEC, DEP	Southern Natural Gas Co. (SNG) CPCN application for the Fairburn Expansion Project in Georgia, filed 2/3/17. On 3/7/17 DEC and DEP intervened. On 8/18/17 FERC issued Environmental Assessment of the project. On 2/15/18 FERC issued CPCN for the project, and SNG filed its acceptance. On 3/15/18 SNG notified FERC that construction began on 3/7/18. On 5/16/18 SNG filed request to place SM2LL facilities in-service. SNG filed a notice of commencement of service on 6/27/18. During fourth quarter 2018, FERC authorized SNG to place Fairborn Expansion Project in service, and SNG notified FERC that the project was placed in service 12/18/18. Post-construction activities continued.	<del>Q3</del> 2019
CP17-58	DEC, DEP	Transco abbreviated CPCN application for the St. James Supply Project in Louisiana, filed 2/6/17. On 3/7/17 DEC and DEP intervened. On 1/18/18 FERC issued CPCN with conditions. During first quarter 2018, Transco accepted the certificate, filed an implementation plan, filed notices of construction commencement and execution of firm contract for capacity levels and terms in the signed precedent agreement. During second and third quarters 2018,	<del>Q3</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		Transco filed supplemental information, status reports and requests for variance; and FERC granted the requests and authorized construction of facilities in LA. Post-construction activities continued.	
CP17-101	DEC, DEP	Transco abbreviated CPCN application to construct and operate the Northeast Supply Enhancement Project, filed 3/26 /17. DEC and DEP intervened on 4/27/17. On 3/23/18 FERC issued notice of the draft EIS, with comments due 5/14/18. On 5/3/19 FERC issued certificate. Requests for rehearing were filed. Waiting for FERC order. <u>On 7/2/19 FERC granted rehearing for further consideration of 5/3/19 order. During third quarter 2019 Transco filed implementation plan.</u>	Q <del>32</del> 2019
CP17-212	DEC	Transco variance request for a route modification in Luzerne and Wyoming counties, part of the Atlantic Sunrise Project, filed 4/19/17 and supplemented 4/27/17 and 5/16/17. DEC intervened on 5/12/17. On 5/18/17 FERC granted the certificate to abandon, with conditions. On 5/24/17 Transco accepted the certificate. On 7/31/17 Transco filed implementation plan. On 9/5/17 Transco filed request for Notice to Proceed, which FERC granted 9/15/17. On 10/4/18 FERC authorized Transco to place the project in service. Post-construction activities continued.	Q <del>32</del> 2019
CP17-450	DEC, DEP	Transco application for approval to abandon gathering facilities located in offshore Texas, filed 5/25/17. During second quarter 2017, several parties intervened, including DEC and DEP on 6/19/17; FERC issued a data request, and Transco responded. On 7/25/17 Transco filed supplemental information. On 8/2/17 FERC issued the Environmental Assessment. On 8/8/17 FERC granted the request to abandon pipeline facilities and required Transco to notify the	Q <del>32</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		Commission within 10 days of abandonment. On 5/7/19 Transco filed motion to vacate abandonment authorization. Waiting for FERC order.	
CP18-10	DEC, DEP	Texas Eastern LP abbreviated CPCN application for Texas Industrial Market Expansion and Louisiana Market Expansion Projects (TX-LA Projects), filed 10/19/17 requesting authorization by 9/1/18. On 11/21/17 DEC and DEP intervened. On 7/19/18 FERC issued Certificate. On 7/25/18 Texas Eastern accepted Certificate. On 8/29/18 Texas Eastern filed implementation plan. On 2/7/19 FERC authorized modifications at Gillis Compressor Station, and 2/26/19 Texas Eastern filed its notice of commencement of construction. On 5/30/19 Texas Eastern filed notice of commencement of service. Post-construction activities continued.	<del>Q32</del> 2019
CP18-18	DEC, DEP	Transco abbreviated CPCN application for Gateway Expansion Project, filed 11/15/17. On 12/12/17 DEC and DEP intervened. On 1/2/18 FERC issued notice of intent to prepare an environmental assessment (EA) for the project and requested comments by 2/2/18. On 5/24/18 FERC issued schedule for environmental review. On 12/12/18 FERC issued the CPCN, with Commissioner Glick dissenting. On 12/20/18 Transco filed an implementation plan. On 2/11/19 FERC granted rehearing for further consideration. Construction activities continued.	<del>Q32</del> 2019
CP18-145	DEC, DEP	Transco abbreviated CPCN application for reduced operating pressures and partial abandonment of capacity of Caverns 5, 6, and 7 at the Eminence Storage Field located in Covington County, MI, filed 3/27/18. On 4/9/18 DEC and DEP intervened. On 1/24/19 FERC approved abandonment and amended Certificate. On 3/26/19 FERC granted rehearing for further consideration. On 4/4/19 FERC granted	<del>Q32</del> 2019

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		clarification. On 4/5/19 Transco accepted FERC's 1/24/19 order. Abandonment activities continued.	
CP18-186	DEC, DEP	Transco abbreviated CPCN application to construct Southeastern Trail Project, filed 4/11/18. On 5/24/18 DEC and DEP intervened. Comment period and filing of supplemental information continued.	Q <del>32</del> 2019
CP18-485	DEC, DEP	Joint Texas Eastern and Transco abbreviated application to abandon offshore gathering facilities, including a lateral line and related metering and other facilities, located in federal waters in the Gulf of Mexico near LA, filed 5/17/18. On 6/14/18 DEC and DEP intervened. On 10/25/18 FERC issued Environmental Assessment. <del>Waiting for FERC order.</del> <u>On 7/18/19 FERC granted abandonment. On 9/16/19 FERC granted rehearing for further consideration.</u>	Q <del>32</del> 2019
CP18-486	DEC, DEP	Joint Texas Eastern, Transco and Northern Natural Gas abbreviated application to abandon a 12-mile offshore supply lateral and related facilities located in offshore federal waters in the Gulf of Mexico near LA, filed 5/18/18. On 6/14/18 DEC and DEP intervened. During second quarter 2018, several other parties intervened, and Texas Eastern filed supplemental information. On 10/25/18 FERC issued Environmental Assessment. On 4/24/19 and 6/24/19 Texas Eastern requested prompt issuance of abandonment authorization. <del>Waiting for FERC order.</del> <u>On 7/18/19 FERC granted abandonment.</u>	Q <del>32</del> 2019
CP18-493	DEC, DEP	Saltville Gas application to drill new injection and withdrawal wells, to replace abandoned wells and one existing well, to maintain the deliverability of the Early Grove depleted reservoir facility, as well as to abandon one existing monitoring well at its Early Grove storage facility in Scott and Washington Counties, VA, filed 5/31/18. On 8/7/18 FERC issued its Environmental Assessment. Construction activities continued. <u>On 7/11/19 Saltville filed its final report.</u>	Q <del>32</del> 2019

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		<u>notifying FERC that construction and restoration activities for the Project were completed 6/4/19. (Closed 9/30/19)</u>	
<del>CP18-498</del>	<del>DEC, DEP</del>	<del>Dominion Energy Carolina Gas Transmission, LLC (DECG) 60-Day Notice Request for authorization to construct, own and operate a delivery point for Bushy Park Extension Project, filed 6/8/18. On 8/13/18 FERC issued an Environmental Assessment. During second and third quarters 2018, DECG filed supplemental information and responses to FERC data requests; and several parties intervened, including DEC and DEP on 8/9/18. On 12/14/18 DECG filed final report. (Closed 6/30/19)</del>	<del>Q2 2019</del>
CP18-533	DEC, DEP	Texas Eastern abbreviated application to abandon facilities (lateral pipeline and associated facilities located in Harrison and Marion Counties, TX), filed 7/24/18. DEC and DEP intervened on 8/28/18. On 9/6/18 FERC issued notice of intent to prepare Environmental Assessment (EA). On 1/25/19 FERC issued Environmental Assessment. Comment period and filing of supplemental information continued. <u>On 8/21/19 FERC approved abandonment. During third quarter Texas Eastern filed its implementation plan.</u>	<del>Q3</del> 2019
CP19-14	DEC, DEP	Mountain Valley Pipeline (MVP) CPCN application for the Southgate Project, filed 11/6/18. DEC and DEP intervened on 12/10/18. Comment period and filing of supplemental information continued.	<del>Q3</del> 2019
<u>CP19-481</u>	<u>DEC, DEP</u>	<u>Transco request for authorization to abandon an existing 10-inch supply lateral and 8-inch supply lateral extending from Ship Shoal Block 169 to Ship Shoal Block 72 (Supply Laterals) and appurtenant metering facilities, all in Federal waters offshore Louisiana, filed 6/21/19. Duke Energy intervened 8/18/19. Comment period and filing of supplemental information continued.</u>	<u>Q3 2019</u>

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<u>CP19-494</u>	<u>DEC, DEP</u>	<u>Transco application, in abbreviated form, for a certificate of public convenience and necessity to construct and operate Leidy South Project, filed 7/31/19. Comment period and filing of supplemental information continued.</u>	<u>Q3 2019</u>
EL13-88; ER16-1969	Duke Energy, DEC, DEP, DEBS	Complaint of Northern Indiana Public Service Co. (NIPSCO) against MISO and PJM to remedy flaws in the interregional planning process between the two, filed on 9/11/13. Duke Energy intervened on 9/30/13 on behalf of its subsidiaries that sell into the PJM market. Comments and reply comments were filed, and a technical conference was held 6/15/15 to explore issues raised in the complaint. On 4/21/16 FERC granted complaint in part and directed MISO and PJM to submit compliance filings and informational filings pertaining to their interregional planning process. On 6/17/16 FERC granted rehearing for further consideration. On 8/19/16 MISO and PJM filed a joint report on efforts to improve transmission expansion planning coordination, as required by 4/21/16 order. On 10/18/16 (supplemented 10/25/16) MISO and PJM filed another report in response to 4/21/16 order. On 4/24/17 MISO filed compliance filing and motion for extension in ER16-1969, to allow MISO to continue working with stakeholders on cost allocation methodology. On 6/27/17 FERC granted the extension until 10/31/18. On 9/17/18 MISO and MISO TOs filed an expedited joint motion for a limited extension of the compliance deadline and a request for a shortened comment period. During fourth quarter 2018, MISO and MISO TOs requested an extension of compliance deadline, which the Generator Group subsequently opposed. On 2/28/19 MISO filed compliance filing in Docket Nos. ER16-1969 and ER19-1156. On 6/24/19 FERC	Q <del>3</del> 2019



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		rejected the compliance filing. <u>On 9/13/19 FERC granted extension for revised compliance filing.</u>	
EL14-37; ER17-1433	Duke Energy, DEC, DEP	Investigation to address whether PJM's current OATT applies the Financial Transmission Rights (FTR) forfeiture rule to Up to Congestion transactions justly, issued 8/29/14. On 9/22/14 Duke Energy intervened. On 9/24/14 the Financial Marketers Coalition (FMC) filed a motion for clarification and request for expedited consideration. On 10/22/14 FERC granted rehearing for further consideration of its 8/29/14 order initiating the investigation. On 12/16/14 FERC denied the FMC motion. The technical conference was held 1/7/15. On 1/19/17 FERC issued its Order on investigation, finding PJM's application of its FTR forfeiture rule to virtual transactions to be unjust and unreasonable; requiring PJM to make a compliance filing within 90 days; and holding the issue of uplift in abeyance, pending the outcome of a NOPR in a separate proceeding. On 7/14/17 PJM filed a statement of support. On 4/18/17 PJM filed compliance filing in ER17-1433. During second and third quarters of 2017, parties intervened and filed protests. Waiting for FERC order.	Q <del>32</del> 2019
EL15-67	DEC, DEP, DEBS	Complaint of Linden VFT, LLC against PJM re PJM's proposed cost allocations for projects resulting from PJM's 2013 Regional Transmission Expansion Plan, filed 5/22/15 and amended 7/10/15. On 7/1/15 DEBS intervened on behalf of several affiliates. On 11/24/15 FERC accepted the tariff revisions but suspended them for 5 months, subject to refund, to become effective 4/25/16 or on a date set forth in future order following a technical conference. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. During first quarter 2016, technical conference was held, and parties filed comments. On 4/22/16 FERC denied complaint. On 6/21/16 FERC	Q <del>32</del> 2019



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		granted rehearing for further consideration. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed at the technical conference. On 5/31/17 PSEG responded in opposition to motions. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and others responded to ConEd's motion. On 10/3/17 ConEd filed its answer to PJM's 9/29/17 response. On 3/20/18 ConEd filed motion to reopen record and accept PJM's 2/27/17 answer in EL18-54 which is relevant to this case. During third quarter 2018, FERC established settlement judge procedures. On 9/17/18 FERC granted rehearing for further consideration. <del>Settlement judge procedures continued.</del> <u>On 7/19/19 Settlement Judge issued final report declaring impasse and recommending that settlement judge procedures be terminated. On 7/22/19 settlement judge procedures were terminated. Waiting for FERC order.</u>	
EL15-79	Duke Energy, DEC, DEBS	Complaint of Tran Source LLC alleging that PJM refused to provide data underlying cost estimates in System Impact Studies for queue positions, filed 6/23/15. On 5/27/16 Duke Energy intervened on behalf of subsidiaries that sell into PJM. In second quarter 2016, FERC established hearing procedures (after having held proceeding in abeyance), scheduled and then rescheduled the prehearing conference for 8/2/16. On 6/16/16 FERC allowed Duke Energy and others to intervene. During third quarter 2016, a prehearing conference was held 8/22/16, and the Chief Judge issued a procedural schedule. In fourth quarter 2016, prehearing conferences were held on 10/26/16 and 12/20/16; On 8/29/17 FERC modified the unopposed procedural schedule that Transco had proposed. Testimony was filed,	Q <del>32</del> 2019

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		and hearing was held 9/12/17. During fourth quarter 2017, parties filed briefs. On 1/19/18 FERC ALJ issued initial decision finding PJM practices nontransparent and discriminatory and requiring PJM to refund monies TranSource paid and to restore TranSource's original queue position. On 2/20/18 parties filed briefs and exceptions. On 3/12/18 opposition briefs were filed. <u>On 8/26/19 FERC issued order reversing the Presiding Judge's findings that PJM's processing of the TranSource System Impact Studies was nontransparent and unduly discriminatory but finding that PJM's Tariff omits material terms about how it processes System Impact Studies for Attachment EE upgrade requests and directing PJM to make a compliance filing within 45 days.</u> <del>Waiting for FERC order.</del>	
EL15-95	DEC, DEP, DEBS	Complaint of Delaware PSC and MD PSC against PJM, alleging that use of DFAX cost allocation method is unreasonable, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. During second quarter 2016 several parties requested rehearing. On 6/21/16 FERC granted rehearing for further consideration. On 8/5/16 PJM advised that the Artificial Island project, a transmission line in the Regional Transmission Extension Plan approved by the PJM Board, has been suspended until analysis can be completed. On 8/23/16 complainants moved to defer ruling on requests for rehearing. On 4/12/17 PJM filed an informational filing on status of Artificial Island: PJM Board approved lifting of suspension. On 4/27/17 ODEC filed comments on 4/12/17 PJM filing. On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or	Q <del>32</del> 2019

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		comments of several parties during third quarter 2017. On 7/19/18 FERC granted rehearing and established paper hearing procedures. On 2/28/19 FERC denied rehearing, established a reasonable rate for transmission facilities that address stability-related reliability concerns, and required a compliance filing in 30 days. On 5/1/19 FERC granted rehearing for further consideration. <u>Waiting for FERC order.</u> [See also ER15-2562 and ER15-2653 below.]	
EL16-49	Duke Energy, DEC, DEBS	Complaint of Calpine Corp., Dynegy, et al. against PJM, asking FERC to expand the Minimum Offer Price Rule to prevent the artificial suppression of prices in the Reliability Pricing Model market by below-cost offers for existing resources whose continued operation is being subsidized by state-approved out-of-market payments, filed 3/21/16. On 4/5/16 Duke Energy intervened. On 6/29/18 FERC rejected PJM tariff revisions, granted in part and denied in part Calpine's complaint, and instituted Section 206 proceeding in EL18-178. On 8/29/18 FERC granted rehearing for further consideration. See EL18-178 for updates.	Q <del>32</del> 2019
EL17-29	Duke Energy, DEC, DEP, DEBS	Complaint of American Municipal Power (AMP) alleging that MISO's assessment of congestion and administrative charges associated with dispatches of an AMP generating resource by PJM is unjust and unreasonable, filed 12/19/16 and supplemented 12/20/16. On 1/17/17 Duke Energy intervened. On 1/25/17 MISO filed its answer to the complaint and also a joint motion with PJM to hold the matter in abeyance. On 3/27/17 MISO and PJM filed an informational update on efforts to resolve the congestion overlap issue. On 5/26/17 MISO and PJM filed a status update. On 7/25/17 and 9/25/17 MISO/PJM filed a status update. On 11/22/17 MISO/PJM filed another update on the efforts of the RTOs to develop proposed	Q <del>32</del> 2019

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		solutions to the congestion overlap issue related to pseudo-ties. On 1/23/18 MISO/PJM filed another update, and AMP filed a response 2/7/18. On 4/6/18 MISO/PJM filed another update. On 6/1/18 AMP et al. filed a joint request for prompt action, to which MISO responded on 6/13/18. On 6/28/18 AMP et al. replied to PJM filing. Settlement judge procedures continued.	
EL17-62	Duke Energy, DEC, DEP	Complaint of Potomac Economics asking FERC to direct PJM to revise its OATT and Reliability Assurance Agreement Among Load-Serving Entities in the PJM Region (RAA) to eliminate the existing requirement that resources located external to PJM seeking to offer as Capacity Performance Resources in PJM be pseudo-tied into PJM, filed 4/6/17. During second quarter 2017, many parties intervened and filed comments, including Duke Energy on 4/13/17. On 5/8/17 PJM filed a motion to dismiss, and several parties filed comments. On 6/20/17 PJM filed its answer to comments and protests. On 5/14/18 Brookfield Energy Marketing intervened out of time. On 6/19/18 PJM filed notice of appellate precedent on Market Monitor standing, and on 6/26/18 the Independent Market Monitor responded. On 7/2/18 Potomac Economics filed a letter opposing PJM's motion to lodge recent DC Cir. Court decision. No further activity.	Q <del>32</del> 2019
EL17-64	Duke Energy, DEC, DEP	Complaint of Energy Storage Association (ESA) alleging PJM's changes to its Frequency Regulation market have been discriminatory and capricious, causing harm to ESA members who participate in that market, filed 4/13/17. On 5/22/18 Duke Energy intervened out-of-time. [See EL17-65 for updates.]	Q <del>32</del> 2019
EL17-65	Duke Energy, DEC, DEP	Complaint of Renewable Energy Systems Americas (RESA) and Invenergy Storage Development LLD alleging that PJM's implementation of a frequency regulation signal inconsistent with the	Q <del>32</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		operational design of PJM's market for energy-limited resources negatively affected storage devices and is discriminatory, filed 4/14/17. Duke Energy intervened on 5/12/17. On 3/30/18 FERC issued order granting the Energy Storage Assoc. (ESA) complaint (EL17-64) in part and directing Staff to convene a technical conference on remaining issues (EL17-65). In response to a 5/30/18 joint request, on 6/6/18 FERC postponed the technical conference and appointed a settlement judge. Settlement conferences were held 6/14/18 and 6/26/18. On 4/23/19 an Offer of Settlement was filed. On 6/26/19 settlement judge procedures were terminated. Waiting for FERC order.	
EL17-68	Duke Energy, DEC, DEP	Linden VFT complaint and request for fast track processing, alleging that PJM's cost reallocations for BLC project are unjust and unreasonable, filed 4/28/17. During second quarter 2017, several parties intervened, including Duke Energy on 5/2/17. See EL15-67 above.	Q <del>32</del> 2019
EL17-83	DEC	<del>Complaint of Piedmont Municipal Power Agency (PMPA) alleging DEC has assessed charges in violation of service agreement for wholesale generation service, filed 8/1/17. On 9/11/17 DEC filed its answer and a motion for summary judgement. On 2/15/18 FERC granted complaint and established hearing and settlement judge procedures. During first quarter 2018, FERC designated a judge, and settlement conferences were held. On 7/3/18 FERC accepted refund report and denied protests. On 12/28/18 DEC filed notice that settlement had been reached and a settlement agreement filed in ER19-718. On 1/30/19 uncontested settlement was certified. On 2/4/19 settlement judge procedures were terminated. (Closed 6/30/19)</del>	Q2 2019

## Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
EL17-84; ER17-2073	Duke Energy, DEC, DEP	Section 206 investigation into the justness of Hudson Transmission Partners LLC (HTP) being unable to convert its Firm Withdrawal Rights into Non-Firm Transmission Withdrawal Rights following FERC's rejection of PJM's unexecuted amendment to Interconnection Service Agreement, issued 9/8/17. On 9/18/17 Duke Energy intervened. On 10/10/17 PJM responded to 9/8/17 show cause order. On 12/15/17 FERC issued order requiring PJM to permit conversion of firm to non-firm transmission withdrawal rights and required PJM to amend the agreement with HTP. On 2/9/18 FERC granted rehearing for further consideration of 12/15/17 order. During first quarter 2018, several parties filed requests for rehearing/clarification and answers to same. Settlement judge procedures continued. See EL15-67 above.	Q <del>32</del> 2019
EL17-94	Duke Energy, DEC, DEP	NY Power Authority (NYPA) complaint against PJM, seeking refund of Regional Transmission Expansion Plan (RTEP) charges associated with the Hudson Transmission Project's Firm Transmission Withdrawal Rights following Hudson Transmission Partners, LLC's (HTP) surrender of those rights, filed 9/28/17. On 10/4/17 Duke Energy intervened. On 10/18/17 PJM and PJM Transmission Owners filed answers. On 11/2/17 NYPA filed its response. On 2/16/18 NYPA filed a motion to lodge relevant documents from other dockets, supplemented on 2/23/18. Settlement judge procedures continued. See EL15-67 above.	Q <del>32</del> 2019
EL18-7	Duke Energy, DEC, DEP	AEP complaint against MISO, alleging that MISO failed to provide AEP and other PJM Transmission Owners with more than \$4.8 million of system elimination charge/cost adjustments (SECA), filed 10/10/17. On 11/10/17 Duke Energy intervened. On 11/13/17 MISO filed its answer to the complaint. On 1/28/17 AEP filed its response.	Q <del>32</del> 2019

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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		On 2/7/18 FERC scheduled a conference call on 2/14/18 to discuss factual questions. On 3/7/18 MISO filed a supplemental answer. During first quarter 2018, other parties filed comments on MISO's answer. During third quarter 2018 FERC initiated settlement judge procedures in its 9/14/18 order. On 11/13/18 FERC granted rehearing for further consideration. Settlement judge procedures continued.	
EL18-34	Duke Energy, DEC, DEP	Order and Notice instituting investigation into whether PJM's practices re pricing of fast-start resources may be unjust and unreasonable, issued 12/21/17. On 1/3/18 Duke Energy intervened. On 2/12/18 PJM filed its initial brief. Comments, answers and briefs were filed. On 4/18/19 FERC directed PJM to revise tariff.	Q <del>32</del> 2019
EL18-169	Duke Energy, DEC, DEP	Complaint of CPV Power Holdings, Calpine and Eastern Generation, LLC alleging that PJM's proposals to protect its Reliability Pricing Model (RPM) market from below-cost offers for resources receiving out-of-market subsidies are inadequate, filed 5/31/18. On 6/4/18 Duke Energy intervened. During second quarter 2018, many other parties intervened and filed comments or protests. On 6/19/18 PJM filed its answer to protests. Waiting for FERC order.	Q <del>32</del> 2019
EL18-178	Duke Energy, DEC, DEP	Paper hearing proceeding initiated by FERC when it rejected complaint in EL16-49, issued 6/29/18. Notice of Section 206 proceeding and refund effective date, issued 7/2/18. During third quarter 2018, many parties intervened and filed comments, including Duke Energy on 7/23/18. During fourth quarter 2018, many parties filed comments, reply comments and briefs. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. <u>Waiting for FERC order. On 7/25/19 FERC denied PJM's supplemental motion for reconsideration and directed PJM not to run the Base Residual Auction in August 2019.</u>	Q <del>32</del> 2019



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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
EL19-18	Duke Energy, DEC, DEP	AEP complaint alleging that PJM's OATT is unjust because it does not include indemnifications provisions consistent with FERC's <i>pro forma</i> Large Generator Interconnection Agreement, filed 11/16/18. On 12/19/18 Duke Energy filed comments. On 5/10/19 FERC granted complaint in part and denied it in part. AEP filed a request for clarification. Waiting for FERC order.	Q <del>32</del> 2019
EL19-47	Duke Energy, DEC, DEP	Complaint of PJM IMM against PJM, alleging lack of effective market power mitigation in the capacity market, filed 2/21/19. On 4/17/19 Duke Energy intervened. Waiting for FERC order.	Q <del>32</del> 2019
EL19-58; ER19-1486	Duke Energy, DEC, DEP	PJM proposed revision to its Operating Agreement to implement reserve market enhancements. On 5/13/19 Duke Energy intervened. Waiting for FERC order.	Q <del>32</del> 2019
<u>EL19-61</u>	<u>Duke Energy, DEC, DEP</u>	<u>Order instituting an investigation into whether to require PJM to revise provisions of Schedule 6 of the PJM Operating Agreement to reinstate the competitive proposal window process to projects needed solely to address individual transmission owner Form No. 715 local planning criteria, issued 8/30/19. Duke Energy intervened 9/12/19. Comment period continued.</u>	<u>Q3 2019</u>
EL19-79	Duke Energy, DEC, DEP	Complaint of LSP Transmission Holding II, LLC, Cardinal Point Electric, LLC and LS Power Mid-continent, LLC against MISO, alleging flaws in economic planning process, filed 6/5/19. On 6/24/19 Duke Energy intervened. <u>Comment period continued.</u> Waiting for FERC order.	Q <del>32</del> 2019
ER13-1924	Duke Energy, DEC, DEP	PJM Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with MISO, filed 7/10/13. Duke Energy intervened on 9/5/13. On 12/18/14 FERC rejected in part and conditionally accepted in part PJM's compliance filing and directed PJM to submit further	Q <del>32</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		compliance filing within 60 days. On 1/20/15 MISO TOs requested rehearing. On 2/9/15 FERC granted rehearing for further consideration of its 12/18/14 order. On 6/15/15 FERC held a technical conference to explore issues raised. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 10/28/16 FERC denied rehearing and conditionally accepted third compliance filings subject to further compliance filings. On 12/27/16 MISO TOs filed a petition for review with the DC Circuit Court of Appeals. On 6/22/18 DC Circuit affirmed FERC decision on MISO cost allocation. Petition for review was filed 12/27/18. No further activity.	
ER14-972	Duke Energy, DEC, DEP, DEBS	PJM amendments to Schedules 6 and 12 to incorporate cost responsibility assignments for 111 upgrades in the recent update to its Regional Transmission Expansion Plan, filed on 1/10/14. Duke Energy intervened on 1/15/14. On 4/9/14 FERC accepted the filing but required a compliance filing. On 5/9/14 PJM submitted the compliance filing. Several parties requested rehearing, and on 6/9/14 FERC granted rehearing for further consideration. On 8/20/14 PJM filed a motion to hold docket in abeyance, to allow parties to discuss cost allocation for the contested projects. On 12/16/14 PJM filed a motion to release the stay for this docket but not for the related docket, ER14-1485. On 6/18/15 FERC denied the complaint, denied	Q <del>32</del> 2019

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Open FERC Dockets – ~~Second~~Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		rehearing and accepted ConEd's compliance filing. On 6/25/15 Con Ed filed a petition for review, and on 6/26/15 Linden VTF did likewise. On 8/19/15 FERC granted rehearing for further consideration of its 6/18/15 order. On 11/25/15 FERC accepted and suspended PJM's proposed tariff revisions and scheduled a technical conference on 1/12/16. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. <u>D.C. Circuit Court proceeding is in abeyance.</u> Waiting for FERC order. [See also ER14-1485, ER15-2562 and ER15-2563.]	
ER14-1461	Duke Energy, DEC, DEP, DEBS	PJM revision of its Reliability Assurance Agreement and OATT Attachment DD to reform the current Reliability Pricing Model market rules that incent sellers in 3-year forward auctions to submit speculative offers that can undermine long-term reliability, filed 3/10/14 and amended 3/14/14. Duke Energy intervened on 3/28/14. On 5/9/14 FERC rejected tariff changes and instituted a Section 206 proceeding (EL14-48); a technical conference will be held. On 7/8/14 FERC granted rehearing for further consideration. On 8/18/14 PJM requested deferral until FERC has acted on PJM's capacity performance filing, which will be made in December 2014. On 9/10/14 AEP and Dayton P&L responded to PJM's request to defer action. On 10/29/15 PJM filed a report and requested continued deferral of action in this docket; PJM committed to file a further report by 11/23/16. On 11/23/16 PJM filed a further report and request for continued deferral of action. On 12/30/16 PJM IMM filed	Q <del>32</del> 2019

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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		a motion to lodge a report on the issues raised and a motion to commence the compliance process set forth in 5/9/14 order. On 1/17/17 PJM Load Group filed answer to IMM motions. On 2/9/17 PJM filed answer. On 1/23/18 PJM IMM filed motion to lodge an updated report on issues under investigation. On 3/9/18 PJM filed incremental auction reforms for approval and requested that FERC continue to defer action in the Replacement Capacity proceeding while it considers PJM's proposed tariff revisions. Waiting for FERC order.	
ER15-2562	DEC, DEP, DEBS	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 19 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. On 6/21/16 FERC granted rehearing for further consideration of the 4/22/16 order. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed in the technical conference. On 5/31/17 PSEG responded in opposition. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and other responded to ConEd's motion. On 3/20/18 ConEd filed motion to reopen record and accept PJM's 2/27/17 answer in EL18-54 which is relevant to this	<del>Q3</del> 2019

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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		case. Settlement judge procedures continued. [See <del>also</del> EL15-67 <u>for update, ER14-972, ER14-1485, and ER15-2563.</u> ]	
ER15-2563	DEC, DEP, DEBS	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 12 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. On 6/21/16 FERC granted rehearing for further consideration. On 4/12/17 PJM filed an informational filing on status of the Artificial Island: PJM board approved lifting the suspension. On 4/27/17 ODEC filed comments on the 4/12/17 PJM filing. On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017. During fourth quarter 2017, FERC responded to governors who filed comments. Waiting for FERC order. [See also EL15-95 <u>for update, ER14-972, ER14-1485, and ER15-2562.</u> ]	<del>Q32</del> 2019
ER16-372	DEC, DEP, DEBS	PJM revision of its OATT and Operating Agreement to allow Market Sellers to submit day-ahead offers that vary by hour and to allow Market Sellers to update offers in real time on an hourly basis under certain circumstances, filed 11/20/15. On 12/10/15 DEBS intervened on behalf of several affiliates. On 6/17/16 FERC rejected PJM's 11/20/15 filing and directed PJM to submit another compliance filing within 30 days. On 8/16/16 PJM submitted its compliance filing. On	<del>Q32</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		2/3/17 FERC accepted the compliance filing and required a further compliance filing in 30 days. On 7/31/17 PJM amended its 3/6/17 filing to better describe how PJM will implement hourly offers and calculate penalties. On 9/29/17 PJM informed FERC of readiness to implement intraday offers by 11/1/17. On 6/19/18 PJM filed notice of appellate precedent on Market Monitor standing, and on 6/26/18 the PJM IMM responded. On 4/29/19 FERC conditionally accepted compliance filings and denied motions for clarification. On 6/24/19 FERC granted rehearing for further consideration. <del>Waiting for FERC order.</del> <u>On 8/30/19 FERC issued order on rehearing: denying in part and granting in part. On 9/16/19 PJM filed compliance filing. Waiting for FERC order.</u>	
ER17-905	Duke Energy, DEC, DEP	NYISO proposed revisions to Joint Operating Agreement (JOA) with PJM, to address interchange scheduling and the implementation of Market-to-Market (M2M) coordination at the ABC Interface and JK Interface on the border of southeastern NY and northern NJ, filed 1/31/17. On 3/31/17 FERC accepted the filing. During second quarter 2017, parties intervened out-of-time, including Duke Energy on 4/13/17, and several requested rehearing. On 5/26/17 FERC granted rehearing for further consideration. On 10/6/17 FERC accepted PJM filing and denied NYISO request for rehearing. On 12/4/17 FERC granted rehearing for further consideration. Waiting for FERC order.	Q <del>32</del> 2019
ER17-950	Duke Energy, DEC, DEP	PJM proposed revisions to its OATT Schedule 12 appendices to revise cost responsibility assignments for Regional Facilities and Necessary Lower Voltage Facility and Lower Voltage Facilities included in the PJM Regional Transmission Expansion Plan (RTEP) due to termination of long-term firm point-to-point transmission	Q <del>32</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		service agreements entered between PJM and ConEd, filed 2/8/17 and amended 2/13/17. During second quarter 2017, parties intervened out-of-time, including Duke Energy on 4/6/17, and several parties filed answers. On 4/25/17 FERC accepted PJM's filings and required a compliance filing. On 4/26/17 PJM filed its compliance filing. On 6/20/17 FERC accepted PJM's compliance filing, and on 6/23/17 FERC granted rehearing for further consideration. See EL15-67 above. Settlement judge procedures continued.	
ER17-1138	Duke Energy, DEC, DEP	PJM revision of OATT and Reliability Assurance Agreement re enhancements to rules governing resources physically outside the PJM region that serve as capacity for loads in the PJM region, filed 3/9/17. On 3/28/17 Duke Energy intervened. On 11/17/17 FERC accepted PJM's proposal subject to conditions and required a compliance filing within 30 days. On 12/15/17 PJM filed compliance filing. On 1/16/18 FERC granted rehearing for further consideration of 11/17/17 order. On 1/18/18 PJM filed answer to request for clarification. On 5/9/18 Southern Power Co. withdrew its request for rehearing, due to FERC order in ER18-1455. Waiting for FERC order.	Q <del>32</del> 2019
ER17-1357	DEC, DEP	Revision of Joint OATT to add Attachment W memorializing the methodology to calculate real power loss factors and to change the real power loss factor for DEC and DEP to be calculated June 1 annually, filed 3/31/17. On 9/27/17 FERC accepted and suspended rates, subject to refund, and established settlement judge procedures. On 12/13/18 DEP and DEP filed an offer of settlement and a motion to implement interim settlement rates. On 12/27/18 FERC granted that motion. On 3/7/19 the ALJ certified the uncontested settlement to the Commission. On 3/12/19 settlement judge procedures were	Q <del>32</del> 2019

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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		terminated. On 4/18/19 FERC approved settlement agreement. On 5/17/19 DEC and DEP filed a settlement compliance filing. <u>On 7/11/19 FERC accepted compliance filing.</u> (Closed <del>9</del> 6/30/19)	
ER17-1420	Duke Energy, DEC, DEP	PJM proposed revisions to OATT Schedule 12 Appendix A to incorporate cost responsibility assignments for new baseline upgrades included in the recent RTEP approved by the PJM Board of Managers, filed 4/13/17 and amended 4/28/17. During second quarter 2017, parties intervened, including Duke Energy on 5/18/17. On 6/1/17 FERC accepted PJM's filing. On 10/5/17 FERC issued order that accepted PJM's Tariff revisions, subject to the outcome of pending rehearing requests in EL15-95 and ER15-2563, with new cost assignments for Artificial Island Project to become effective 10/10/17 as requested and the proposed ministerial revisions to become effective 11/30/16. No further activity. [See EL15-95 and ER15-2563.]	<del>Q3</del> 2019
ER17-1553	DEP	Proposed revision to PPA with Fayetteville PWC (FPWC), filed 5/5/17 and supplemented 5/25/17. On 7/20/17 FERC accepted filing. On 10/18/17 FPWC challenged DEP's 2015 true-up. On 4/23/18 Order granted formal challenge. On 5/23/18 DEP requested rehearing. On 6/20/18 FERC granted rehearing for further consideration. On 8/31/18 DEP filed a refund report, per the 4/23/18 order. On 3/19/19 FERC granted DEP's motion to hold request for rehearing in abeyance and to shorten answer period for responding to that motion. <u>No further activity. On 7/2/19 DEP withdrew its request for rehearing.</u> (Closed 9/30/19)	<del>Q3</del> 2019
ER18-136; ER18-137	Duke Energy, DEC, DEP	MISO and PJM amendment to Joint Operating Agreement with PJM to provide for a phased resolution of issues involving overlapping congestion charges affecting pseudo-tied generation in both RTOs,	<del>Q3</del> 2019



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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		filed 10/23/17. On 5/31/18 MISO revised the effective date for the Phase 1 solution. On 6/12/18 Duke Energy intervened out-of-time. On 7/31/18 FERC accepted the tariff revisions and required informational filings monthly detailing progress towards filing and implementing a solution to the remainder of the overlapping congestion charges. On 8/30/18 MISO submitted the required filing, and American Municipal Power requested rehearing of 7/31/18 order. On 10/1/18 FERC granted rehearing for further consideration. Waiting for FERC order.	
<del>ER18-459; ER18-460</del>	<del>Duke Energy, DEC, DEP</del>	<del>PJM and Ohio Valley Electric Corp. (OVEC) revisions to OATT, Operating Agreement, Resource Adequacy Agreement and Consolidated Transmission Owners Agreement (CTOA) in connection with OVEC's proposed integration into PJM, filed 12/15/17 and with errata filing for CTOA on 12/19/17. On 1/3/18 Duke Energy intervened. On 2/13/18 FERC accepted the PJM/OVEC filing. On 2/26/18 PJM and OVEC requested that FERC defer the 3/1/18 effective date until 6/1/18. On 7/2/18 FERC granted the motion to delay the effective date and the request for limited waiver. On 7/12/18 OVEC submitted its compliance filing. On 8/31/18 FERC accepted the 7/12/18 filing. On 9/27/18 PJM submitted a further compliance filing. On 10/29/18 FERC accepted the filing. On 11/30/18 OVEC filed compliance tariffs. On 4/4/19 FERC accepted compliance filings. (Closed 6/30/19)</del>	<del>Q2</del> 2019
ER18-462	Duke Energy, DEC, DEP, DEBS	MISO request that FERC reaffirm that its existing Resource Adequacy-related Tariff provisions are just and reasonable, filed 12/15/17. On 12/21/17 Duke Energy intervened. On 2/28/18 FERC accepted MISO's filing. On 3/30/18 several parties requested	Q <del>32</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		rehearing of the 2/28/18 order. On 4/27/18 FERC granted rehearing for further consideration. Waiting for FERC order.	
ER18-614	Duke Energy, DEC, DEP	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 45 new baseline upgrades, including the first interregional transmission project between PJM and MISO, filed 1/5/18 and amended 1/9/18. On 2/1/18 Duke Energy intervened. On 7/2/18 FERC issued order on cost allocation report and tariff revisions and instituted a Section 206 proceeding. On 7/31/18 PJM submitted a compliance filing. On 8/1/18 PJM TOs submitted compliance filing. On 8/29/18 FERC granted rehearing for further consideration. On 6/20/19 FERC denied rehearing and accepted compliance filing. <u>On 7/22/19 Linden requested rehearing. On 8/28/19 FERC dismissed rehearing request.</u> (Closed <u>9/6/30/19</u> )	Q <del>32</del> 2019
ER18-1314	Duke Energy, DEC, DEP	PJM amendment to Reliability Pricing Model (RPM) rules in its OATT to propose 2 alternatives to address the price suppressing effects of state out-of-market support for certain resources, filed 4/9/18. On 4/30/18 Duke Energy intervened. On 6/29/18 FERC rejected the proposed tariff revisions, granted in part and denied in part Calpine's complaint in Docket EL16-49 on PJM's Minimum Offer Price Rule, established a refund effective date, and invited comment in a new Section 206 proceeding, EL18-178. On 7/10/18 Calpine expressed concern about remedy FERC proposed. On 8/29/18 FERC granted rehearing for further consideration. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. [See EL18-178 for update.]	Q <del>32</del> 2019
ER18-1730	Duke Energy, DEC, DEP	PJM amendment to OATT and Operating Agreement (OA) related to pseudo-ties from PJM into MISO, filed 6/1/18. On 6/12/18 Duke Energy intervened. On 7/31/18 FERC accepted tariff revisions. On	Q <del>32</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		10/1/18 FERC granted rehearing for further consideration. Waiting for FERC order.	
ER18-2068	Duke Energy, DEC, DEP	PJM request for temporary waiver of certain Financial Transmission Rights (FTR) rules in the PJM OATT Attachment K Appendix and identical provisions of Operating Agreement Schedule 1, to ensure an orderly and efficient liquidation of the large FTR portfolio of a recently defaulted PJM member, to minimize distortion to the FTR markets, filed 7/26/18 and supplemented 8/24/18. During third quarter 2018, several parties intervened and filed comments, including Duke Energy on 8/16/18. On 1/30/19 FERC denied request for waiver. On 3/26/19 FERC granted rehearing for further consideration. <u>On 8/22/18 FERC denied rehearing.</u> Settlement judge procedures <del>were initiated</del> <u>continued.</u>	<del>Q3</del> 2019
<del>ER18-2231</del>	<del>DEC</del>	<del>Revised depreciation accrual rates for use in formula rates for wholesale power sales and transmission service, filed 8/16/18. On 10/11/18 FERC accepted the filing. On 11/9/18 DEC filed compliance tariff. On 1/11/19 DEC filed revised tariff. On 4/29/19 FERC accepted DEC filing. (Closed 6/30/19)</del>	<del>Q2</del> 2019
ER18-2362	Duke Energy, DEC, DEP	NTE Ohio, LLC proposed cost-based revenue requirement for the provision of Reactive Supply and Voltage Control from Generation Sources Service under Schedule 2 of the PJM OATT, filed 8/30/18. On 9/11/18 Duke Energy intervened. On 10/29/18 FERC established hearing and settlement judge procedures. Settlement judge procedures continued. On 6/21/19 FERC granted motion for interim implementation of settlement rates. <u>On 6/27/19 NTE Ohio filed compliance filing. On 7/12/19 Settlement Judge issued final report and certified uncontested settlement. On 7/17/19 settlement judge</u>	<del>Q3</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>procedures were terminated. On 8/1/19 FERC accepted compliance filing. (Closed 9/30/19)</del>	
ER19-19; ER19-25	Duke Energy, DEC, DEP	PJM revision to its OATT Attachment K and Operating Agreement, filed 10/1/18 in ER19-19. PJM also filed an alternative solution in the event that FERC declined to adopt the FTR proposal, filed 10/1/18 in ER19-25. On 10/22/18 Duke Energy intervened. On 11/29/18 FERC accepted the first proposal subject to conditions and rejected the alternative proposal. On 12/28/18 PJM filed a compliance filing. <u>On 2/1/19 FERC accepted PJM's compliance filing.</u> (Closed <u>96/30/19</u> )	Q <del>32</del> 2019
<del>ER19-210</del>	<del>Duke Energy, DEC, DEP</del>	<del>PJM revision to its OATT and Operating Agreement, filed 10/29/18. On 11/16/18 Duke energy intervened, and on 11/19/18 filed comments. On 2/14/19 PJM filed revised tariff following deficiency letter. On 4/15/19 FERC accepted PJM filing. (Closed 6/30/19)</del>	<del>Q2 2019</del>
<del>ER19-366</del>	<del>Duke Energy</del>	<del>Public Service Co. of Colorado (PSCO) revisions to its Large Generator Interconnection Procedures, filed 11/19/18. On 12/12/18 Duke Energy filed comments. On 1/31/19 FERC rejected filing. On 3/4/19 rehearing was requested. On 5/16/19 FERC denied rehearing and clarification requests. (Closed 6/30/19)</del>	<del>Q2 2019</del>
<del>ER19-718</del>	<del>DEC</del>	<del>Settlement agreement with Piedmont Municipal Power Agency and NCEMC to resolve complaint in EL17-83, filed 12/28/18. On 2/4/19 FERC accepted filing. On 4/29/19 DEC filed revised tariffs reflecting approval. On 6/7/19 FERC accepted compliance filing. (Closed 6/30/19)</del>	<del>Q2 2019</del>
<del>ER19-789</del>	<del>DEC, DEP</del>	<del>Notice of Cancellation of 4 OATT service agreements with Cube Hydro Partners, filed 1/9/19. On 3/6/19 FERC accepted the filing. (Closed 6/30/19)</del>	<del>Q2 2019</del>

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
ER19-915	Duke Energy, DEC, DEP	MISO revisions to certain generator outage provisions of its Open Access Transmission, Energy, and Operating Reserve Markets Tariff, filed 1/30/19. On 2/19/19 Duke Energy intervened. <del>Waiting for FERC order.</del> <u>On 7/29/19 FERC accepted MISO filing. (Closed 9/30/19)</u>	<del>Q3</del> 2019
ER19-1124; ER19-1125	Duke Energy, DEC, DEP	MISO revision to its Open Access Transmission, Energy and Operating Reserve Markets Tariff and new Attachment FF-7 to expand and clarify identification and cost allocation of transmission facilities providing regional and local economic benefits in the MISO footprint, filed 2/25/19. Duke Energy intervened on 2/25/19 (ER19-1125) and 2/28/19 (ER19-1124). On 6/24/19 FERC rejected tariff revisions. <u>(Closed 9/30/19)</u>	<del>Q3</del> 2019
ER19-1156	Duke Energy, DEC, DEP	MISO revision to its Open Access Transmission, Energy and Operating Reserve Markets Tariff to cost allocation methodology for MISO's share of the cost of certain Interregional Economic Projects with PJM and Southwest Power Pool, Inc., filed 2/28/19. On 3/25/19 Duke Energy intervened. On 6/24/19 FERC rejected proposed revisions and compliance filing and required further compliance filing. <u>(Closed 9/30/19)</u>	<del>Q3</del> 2019
<del>ER19-1160</del>	<del>DEP</del>	<del>Revision to Amended and Restated Power Supply and Coordination Agreement with NCEMC (Rate Schedule No. 182), filed 3/1/19. On 4/19/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2</del> 2019
<del>ER19-1167</del>	<del>DEC</del>	<del>Notice of Cancellation of 3 OATT service agreements with NRG Power Marketing LLC and Noble Americas Gas &amp; Power Corp., filed 2/28/19. On 4/1/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2</del> 2019
<del>ER19-1250</del>	<del>DEC</del>	<del>Revised NITSA with Rutherford EMC, filed 3/12/19. On 4/26/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2</del> 2019

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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
<del>ER19-1312</del>	<del>DEP</del>	<del>Notice of Cancellation of Facilities Upgrade Agreement with Dominion, Rate Schedule No. 203, filed 3/15/19. On 4/23/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2 2019</del>
<del>ER19-1339</del>	<del>DEP</del>	<del>Revisions to Joint OATT Formula Transmission Rates to allow recovery of DEP 2018 storm costs, filed 3/12/19 and revised 5/3/19. On 5/14/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2 2019</del>
<del>ER19-1403</del>	<del>DEC</del>	<del>Notice of Cancellation of 3 OATT service agreements with J.P. Morgan Ventures Energy Corp. and Calpine Power Services Corp., filed 3/21/19. On 4/23/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2 2019</del>
<del>ER19-1476</del>	<del>DEP</del>	<del>Revision to Amended and Restated Power Supply and Coordination Agreement with Fayetteville Public Works Commission (Rate Schedule No. 184), filed 3/29/19. On 5/20/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2 2019</del>
ER19-1507	DEC, DEP	Amendment to Joint OATT provisions re Large Generator Interconnection Procedures, in compliance with Order Nos. 845 and 845-A, filed 4/2/19, 4/24/19 and 5/20/19. On 6/13/19 FERC found the filings deficient. <u>On 7/12/19 DEC and DEP filed compliance filing, corrected 7/24/19. Waiting for FERC order.</u>	<del>Q2</del> 2019
<del>ER19-1526</del>	<del>DEC</del>	<del>Notice of Cancellation of power purchase agreements with cities of Concord and Kings Mountain, NC and Greenwood, SC, filed 4/5/19. On 5/9/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2 2019</del>
<del>ER19-1565</del>	<del>DEC</del>	<del>Notice of cancellation of NITSAAs with AEP Service Corp., filed 4/12/19. On 5/21/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2 2019</del>
ER19-1576	DEC, DEP	Clean-up revisions to Joint OATT formula transmission rates to delete obsolete provisions, eliminate ambiguity, and correct formatting errors, filed 4/15/19. On 6/11/19 FERC accepted filing. On 6/20/19 DEP filed compliance tariffs. <u>On 9/11/19 DEP withdrew compliance filing and filed a replacement compliance filing. Waiting for FERC order.</u>	<del>Q2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
ER19-1651	Duke Energy, DEC, DEP	PJM Offer of Settlement for 2 complaint dockets on automated frequency regulation (EL17-64 and EL17-65) and request for waiver of regulations necessary to effectuate settlement, filed 4/23/19. On 5/21/19 Duke Energy intervened. Waiting for FERC order.	Q <del>32</del> 2019
<del>ER19-1692</del>	<del>DEP</del>	<del>Notice of termination of 5 power supply and coordination agreements with towns of Black Creek, Lucama, Sharpsburg, Stantonburg and Winterville, filed 4/29/19. On 6/7/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2-2019</del>
<del>ER19-1722</del>	<del>DEC</del>	<del>Revised power purchase agreements with towns of Prosperity, SC and Due West, SC, filed 4/30/19. On 6/10/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2-2019</del>
<del>ER19-1724</del>	<del>DEC</del>	<del>Revised power purchase agreement with Central EPC, filed 4/30/19. On 6/11/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2-2019</del>
<del>ER19-1786</del>	<del>DEP</del>	<del>Revised Partial Requirements Services Agreement with Haywood EMC, filed 5/6/19. On 6/18/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2-2019</del>
<del>ER19-1788</del>	<del>DEP</del>	<del>Revised Partial Requirements Services Agreement with Piedmont EMC, filed 5/6/19. On 6/18/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2-2019</del>
<del>ER19-1789</del>	<del>DEC</del>	<del>Amended power purchase agreements with Blue Ridge EMC, Piedmont EMC, Rutherford EMC and Haywood EMC, filed 5/6/19. On 6/3/19 FERC accepted filing. (Closed 6/30/19)</del>	<del>Q2-2019</del>
ER19-1876	DEC, DEP	Petition for waiver of provisions of transmission formula rates to address discrete effects of the Tax Cuts & Jobs Act in 2019 annual updates, filed 5/15/19. <u>Waiting for FERC order. On 7/18/19 FERC granted request for waiver. (Closed 9/30/19)</u>	Q <del>32</del> 2019

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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
ER19-1932	DEP	Certificate of Concurrence to Amended Joint Operating Agreement with PJM Interconnection, filed 5/22/19. <del>Waiting for FERC order.</del> <u>On 8/5/19 FERC accepted filing. (Closed 9/30/19)</u>	Q <del>32</del> 2019
ER19-2068; ER19-2170	DEC, DEP	Dynamic Transfer Agreements with Towns of Black Creek, Lucama, Sharpsburg, Stantonsburg and Winterville, filed by DEC 6/7/19 and Certificate of Concurrence filed by DEP 6/17/19. <del>Waiting for FERC order.</del> <u>On 7/30/19 FERC accepted filing of agreements. (Closed 9/30/19)</u>	Q <del>32</del> 2019
ER19-2105	DEC, DEP	PJM Transmission Owners' revisions to PJM OATT Schedule 7 and 8 to update the PJM Border rate, filed 6/11/19. Duke Energy intervened on 6/28/19. <u>Comment and protest period continued.</u>	Q <del>32</del> 2019
ER19-2106	DEP	Petition for waiver of provisions of formula rate power sales agreements with wholesale customers to address discrete effects of the Tax Cuts & Jobs Act in annual process to true-up 2018 rates, filed 6/11/19. <del>Waiting for FERC order.</del> <u>On 8/6/19 FERC granted request for waiver. (Closed 9/30/19)</u>	Q <del>32</del> 2019
ER19-2258	DEC	Revised NITSA with NCEMC, filed 6/26/19. <del>Waiting for FERC order.</del> <u>On 8/13/19 FERC accepted filing. (Closed 9/30/19)</u>	Q <del>32</del> 2019
<u>ER19-2374;</u> <u>ER19-2379</u>	<u>DEC, DEP</u>	<u>Affected System Operating Agreement between DEC and DEP, designated as OATT Service Agreement No. 514, filed 7/10/19 and withdrawn 7/30/19. Docket ER19-2379 is DEP's concurrence filing, filed 7/10/19 and withdrawn 7/30/19. (Closed 9/30/19)</u>	<u>Q3 2019</u>
<u>ER19-2417</u>	<u>Duke Energy,</u> <u>DEC, DEP</u>	<u>PJM's Proposed Tariff Amendments Regarding the Process for Removal of Capacity Resource Status of an Existing Generation Capacity Resource, to apply beginning with the Base Residual Auction for the 2023/2024 Delivery Year, filed 7/18/19. Duke Energy intervened 8/7/19. On 9/16/19 FERC accepted tariff revision and required PJM compliance filing within 30 days.</u>	<u>Q3 2019</u>



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
<a href="#"><u>ER19-2459</u></a>	<a href="#"><u>DEC</u></a>	<a href="#"><u>Revised, unexecuted Network Integration Transmission Service Agreement with NCEMC, filed 7/25/19. On 9/23/19 FERC rejected the filing. (Closed 9/30/19)</u></a>	<a href="#"><u>Q3 2019</u></a>
<a href="#"><u>ER19-2468</u></a>	<a href="#"><u>DEC</u></a>	<a href="#"><u>Request to Recover Cancelled Nuclear Plant Costs, filed 7/26/19. On 9/25/19 FERC accepted request. (Closed 9/30/19)</u></a>	<a href="#"><u>Q3 2019</u></a>
<a href="#"><u>ER19-2532</u></a>	<a href="#"><u>DEP</u></a>	<a href="#"><u>Notice of Cancellation of Contribution in Aid of Construction Agreement with Fayetteville PWC, filed 8/5/19. On 9/6/19 FERC accepted filing. (Closed 9/30/19)</u></a>	<a href="#"><u>Q3 2019</u></a>
<a href="#"><u>ER19-2634</u></a>	<a href="#"><u>DEC</u></a>	<a href="#"><u>Amended and Restated Power Purchase Agreement with Piedmont Municipal Power Agency, filed 8/19/19. Waiting for FERC order.</u></a>	<a href="#"><u>Q3 2019</u></a>
PL18-1	Duke Energy, DEC, DEP	Notice of Inquiry re certification of new interstate natural gas facilities in light of changes in the natural gas industry and increased stakeholder interest in how FERC reviews natural gas pipeline proposals, issued 4/19/18. On 8/16/18 Duke Energy filed comments on behalf of its subsidiaries. Comment period continued. No further activity.	<del>Q3</del> 2019
PL19-3	Duke Energy, DEC, DEP	Notice of Inquiry re FERC's electric transmission incentives policy, issued 3/21/19. On 6/26/19 Duke Energy filed comments. Comment period continued.	<del>Q3</del> 2019
P-432	DEP	Walters Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	<del>Q3</del> 2019
P-2206	DEP	Yadkin-Pee Dee Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level	<del>Q3</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 10/29/18 FERC acknowledged notification of the inoperability of the Blewett Falls Hydro Station due to extreme flooding conditions experienced during Hurricane Florence. License Amendment: On 11/20/18 DEP filed a Non-Capacity License Amendment Application for several changes to the license, one of which is the installation of an inflatable gate on the Blewett Falls Spillway that will replace the existing wooden flash board system. Other changes include minor edits to Exhibit A, a revised Authorized Installed Capacity due to the calculation using 18 CFR 11(i), and revisions to the upstream American eel and downstream American shad passage requirements of the New License. On 5/8/19 FERC issued an Order approving the License Amendment, with the exception of the Authorized Installed Capacity. This will be recalculated to include the house unit at the Tillery Development. <u>On 7/5/19 DEP filed the revised Exhibit F's required by the approved license amendment.</u>	
P-2232	DEC	Catawba-Wateree Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. We filed our compliance report for 2018 operations by 6/30/19.	Q <del>32</del> 2019
P-2331	DEC	Ninety-Nine Islands Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level	Q <del>32</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. <del>Responding to our submittal to the FERC on 12/6/16, on 5/14/19 FERC issued an Order approving our proposed water balance methodology utilizing sequential reservoir storage changes adjusted by measured Project flow releases, to quantify an estimate of 15-minute Project inflows (used in certain continuous minimum flow compliance determinations). In adopting our proposed methodology, FERC amended the Streamflow and Reservoir Gaging Plan to remove a former requirement to install and maintain an additional US Geological Survey gaging station at the Project headwaters.</del>	
P-2332	DEC	Gaston Shoals Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer Project License to Northbrook Carolina Hydro II, LLC consistent with a pending sales agreement that includes the Gaston Shoals Project and other DEC hydro assets. <del>In response, FERC issued a Notice of Application for Transfer of Licensee 10/9/18.</del> FERC issued an Order Approving Transfer of Licenses for Gaston Shoals and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and	Q <del>32</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19, the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. <del>The sale and final transfer of license remained pending, with a proposed 8/9/19 closing date.</del> <u>However, due to the extended timeframe then required for finalizing the transfer documents, on 7/29/19, DEC and Northbrook requested a second extension for the filing until 10/10/19. Northbrook filed the final signed acceptance sheets and instruments of conveyance with the FERC on 8/20/19, including a signed bill of sale for the Gaston Shoals property dated 8/16/19.</u>	
P-2380	DEP	Marshall Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q <del>32</del> 2019
P-2503	DEC	Keowee-Toxaway Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q <del>32</del> 2019
P-2601	DEC	Bryson Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions,	Q <del>32</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Bryson Hydro Project and other DEC hydro assets. <del>In response, FERC issued a Notice of Application for Transfer of Licensee on 10/9/18.</del> FERC issued Order Approving Transfer of Licenses for Bryson and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. <del>The sale and final transfer of license remained pending, with a proposed 8/9/19 closing date. However, due to the extended timeframe then required for finalizing the transfer documents, on 7/29/19, DEC and Northbrook requested a second extension for the filing until 10/10/19. Northbrook filed the final signed acceptance sheets and instruments of conveyance with the FERC on 8/20/19, including a signed bill of sale for the Bryson property dated 8/16/19.</del>	
P-2603	DEC	Franklin Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and	Q <del>32</del> 2019

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Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Franklin Hydro Project and other DEC hydro assets. <del>In response, FERC issued a Notice of Application for Transfer of Licensee 10/9/18.</del> FERC issued Order Approving Transfer of Licenses for Franklin and the other projects 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. <del>The sale and final transfer of license remained pending, with a proposed 8/9/19 closing date. However, due to the extended timeframe then required for finalizing the transfer documents, on 7/29/19, DEC and Northbrook requested a second extension for the filing until 10/10/19. Northbrook filed the final signed acceptance sheets and instruments of conveyance with the FERC on 8/20/19, including a signed bill of sale for the Franklin property dated 8/16/19.</del>	
P-2619	DEC	Mission Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and	Q <del>32</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Mission Hydro Project and other DEC hydro assets. <del>In response, FERC issued a Notice of Application for Transfer of Licensee on 10/9/18.</del> FERC issued Order Approving Transfer of Licenses for Mission and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. <del>The sale and final transfer of license remained pending, with a proposed closing date of 8/9/19. However, due to the extended timeframe then required for finalizing the transfer documents, on 7/29/19, DEC and Northbrook requested a second extension for the filing until 10/10/19. Northbrook filed the final signed acceptance sheets and instruments of conveyance with the FERC on 8/20/19, including a signed bill of sale for the Mission property dated 8/16/19.</del>	
P-2686	DEC	West Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions,	Q <del>32</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		recreation planning and development, spillway gate operations and wildlife protection plans.	
P-2692	DEC	Nantahala Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q <del>32</del> 2019
P-2694	DEC	Queens Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q <del>32</del> 2019
P-2698	DEC	East Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q <del>32</del> 2019
P-2740	DEC	Bad Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 4/23/18 DEC filed a Non-Capacity Amendment Application with the FERC to upgrade all four generating units at the project resulting in an increase of the installed	Q <del>32</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		capacity from 1065 MW to 1400 MW. On 8/6/18 FERC issued an Order approving the license amendment request to upgrade the generating units.	
<del>RP13-1359</del>	<del>DEC, DEP</del>	<del>Transco cash-out report for the period 8/1/12 – 7/31/13 and report of cash-out refunds during that period, filed 9/27/13. DEC and DEP intervened on 10/9/13. (Closed 6/30/19)</del>	<del>Q2 2019</del>
<del>RP18-415</del>	<del>DEC, DEP</del>	<del>Petition by coalition of natural gas industry for FERC to initiate a show cause proceeding to require interstate pipeline and storage companies to demonstrate that rates are just and reasonable following passage of the federal tax reform legislation in December 2017. On 2/13/18 DEC and DEP intervened. On 7/18/18 FERC issued Order No. 849 which adopts procedures and Form 501-G for determining which interstate natural gas pipelines may be collecting unreasonable rates in light of the Tax Cuts and Jobs Act and FERC's revised policy concerning tax allowances to address the double recovery issue. On 8/17/18 National Gas Pipeline of America requested rehearing. On 9/17/18 FERC granted rehearing for further consideration. On 4/18/19 FERC issued Final Rule, Order No. 849-A, and denied rehearing. (Closed 6/30/19)</del>	<del>Q2 2019</del>
RP18-1126	DEC, DEP	Transco rate increase application, filed 8/31/18. During third quarter 2018, many parties intervened, filed comments and protests including DEC and DEP on 9/12/18. On 9/28/18 FERC issued an order accepting and suspending the tariff records, subject to refund and establishing hearing and settlement procedures. <del>Settlement conferences were held, and status reports filed.</del> Settlement procedures continued.	<del>Q3</del> 2019
<del>RP18-1221</del>	<del>DEC, DEP</del>	<del>Transco cash-out report for the year ended 7/31/18, filed 9/26/18. On 10/9/18 DEC and DEP intervened. (Closed 6/30/19)</del>	<del>Q2 2019</del>



## Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
RP19-63	DEP	East Tennessee Natural Gas report on effect of Tax Cuts and Jobs Act, filed 10/11/18. On 10/23/18 DEP intervened. On 11/30/18 FERC accepted the rate reduction and established a hearing schedule to consider whether a further rate decrease is justified. Procedural schedule was set 1/2/19 (with decision expected by 1/8/20). Proposed settlement was filed 5/23/19. <u>On 8/8/19 Settlement Judge certified an uncontested settlement.</u> Waiting for FERC order.	Q <del>3</del> 2019
<del>RP19-270</del>	<del>DEP</del>	<del>Dominion Energy Carolina Gas Transmission report on effect of Tax Cuts and Jobs Act, filed 11/8/18. On 11/20/18 DEP intervened. On 4/4/19 FERC terminated the Form 501-G proceeding. (Closed 6/30/19)</del>	<del>Q2-2019</del>
RP19-987	DEC, DEP	East Tennessee Natural Gas, LLC 2017-2018 <del>c</del> Cash-out Report, filed 3/28/19. On 4/9/19 DEC and DEP intervened. <u>Waiting for FERC order.</u>	Q <del>3</del> 2019
<del>RP19-1098</del>	<del>DEC</del>	<del>Trunkline Gas Co. revised gas tariff, filed 4/2/19. On 4/15/19 Duke Energy intervened. On 5/24/19 FERC accepted Trunkline filing. (Closed 6/30/19)</del>	<del>Q2-2019</del>
<del>RP19-1225</del>	<del>DEC, DEP</del>	<del>Transco compliance filing per 9/7/18 order in RP18-314, filed 5/16/19. On 5/28/19 DEC and DEP intervened. On 6/18/19 FERC accepted Transco filing. (Closed 6/30/19)</del>	<del>Q2-2019</del>
<del>RP19-1308</del>	<del>DEC, DEP</del>	<del>Transco tariff to track rate changes attributable to storage service purchased from Texas Eastern, filed 6/5/19. On 6/17/19 DEC and DEP intervened. On 6/26/19 FERC accepted Transco filing. (Closed 6/30/19)</del>	<del>Q2-2019</del>
<u>RP19-1331</u>	<u>DEC, DEP</u>	<u>Transco revision of Rate Schedule FT to more clearly describe the service rights of certain Conversion Buyers and clarify the calculation of reservation charges applicable to those Conversion Buyers' service</u>	<u>Q3 2019</u>

## Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<u>agreements, filed 6/25/19. On 7/9/19 DEC and DEP intervened. On 7/11/19 FERC accepted tariff revision. (Closed 9/30/19)</u>	
<u>RP19-1480</u>	<u>DEC, DEP</u>	<u>SNG update of transportation fuel retention rates for the period 10/1/19 through 3/31/20 (i.e., winter season) under Rate Schedules FT, FT-NN, IT, and under Liquefiable Transportation Agreements to reflect changes in consumption and to flow through any over or under collections experienced during the prior winter season, filed 8/22/19. On 8/30/19 DEC and DEP intervened. On 9/10/19 FERC accepted SNG filing. (Closed 9/30/19)</u>	<u>Q3 2019</u>
<u>RP19-1523</u>	<u>DEC, DEP</u>	<u>Panhandle Eastern Pipe Line Company, LP general rate increase, filed 8/30/19. On 9/10/19 DEC and DEP intervened. On 9/30/19 FERC suspended rates, rejected tariff revisions, established hearing procedures and technical conference.</u>	<u>Q3 2019</u>
<u>RP19-1547</u>	<u>DEC, DEP</u>	<u>Transco revised Rate Schedules WSS-OA, LSS and SS-2 to include provisions for reservation charge credits, filed 9/6/19. On 9/18/19 DEC and DEP intervened. Waiting for FERC order.</u>	<u>Q3 2019</u>
<u>RP19-1556</u>	<u>DEC, DEP</u>	<u>Transco annual cash-out report for period ending 7/31/19. On 9/19/19 DEC and DEP intervened. Waiting for FERC order.</u>	<u>Q3 2019</u>
TS19-1	Duke Energy, DEC, DEP	AMP Transmission, LLC application for waiver of standards of conduct requirements, filed 10/12/18. On 11/1/18 Duke Energy intervened on behalf of <del>utilities. No further activity.</del> <u>utilities. On 9/6/19 FERC requested additional information.</u>	<del>Q3</del> 2019

## Attachment B

Periodic Reports Filed with FERC – ~~Second~~ Third Quarter 2019

Docket No(s).	Filing Entity (Duke Energy, DEC, DEBS)	Description of Report
<del>N/A</del>	<del>DEC, DEP</del>	<del>Form 1—Annual Report for Major Electric Utilities, Licensees and Others</del>
N/A	DEC, DEP	Form 3Q – Quarterly Financial Report
<del>N/A</del>	<del>DEBS</del>	<del>Form 60—Annual Report by Centralized Service Company</del>
<del>N/A</del>	<del>Duke Energy</del>	<del>FERC 61—Narrative Description of Service Company Functions</del>
<del>ID-3916, et al</del>	<del>Duke Energy</del>	<del>Form 561—Annual Report of Interlocking Positions</del>
<del>N/A</del>	<del>DEC, DEP</del>	<del>FERC No. 582—Annual Charges Report</del>
<del>N/A</del>	<del>DEC, DEP</del>	<del>Form 714—Annual Electric Balancing Authority Area and Planning Report</del>
<del>ER09-1165; ER11-3585</del>	<del>DEC, DEP</del>	<del>Annual Update for OATT Formula Transmission Rates</del>
<del>QA07-53; QA08-100</del>	<del>DEC, DEP</del>	<del>Annual Operational Penalty Assessments and Distributions</del>
<del>ZZ19-1</del>	<del>DEC, DEP</del>	<del>Independent Auditor's Report</del>